

Katherine G. Leonard
FINANCIAL DISCLOSURE 2023

Item 5 (financial range code in parentheses)

Mutual Funds, Hedge Fund

OGGFX (D), JMGRX (E), JRBFX (C), DCCIX (D), ESPNX (D), TRBCX (F),
GSFTX (F), FDYZX (F), ANWFX (G), WMGGX (H), SEEGX (H), VWENX (G),
HASCX (G), RERCX (F), BLACKROCK US LARGE CAP INDEX (H), BLACKROCK
US SMALL/MID CAP INDEX (F), BLACKSTONE REAL ESTATE INCOME TRUST
(E)

STOCKS, ETFs

PYPL (B), FIS (C), CFG (C), JEPI (C), VZ (B), C (D), MTN (B),
HBAN (B), NOK (B), T (B), BA (C), DG (B), AMT (C), WHR (B), LUV
(B), DEO (B), MDT (B), BAX (B), PFE (B), KHC (B), BNS (B), UL
(B), KMI (B), NICE (B), SSNC (B), O (B), DAL (B), LHX (B), VRT
(B), EMR (B), CRSP (B), F (B), CPNG (B), EMN (B), PM (B), ETN
(B), LH (B), MS (C), GM (B), APD (B), SNY (C), ENB (B), SHEL (B),
LDOS (B), GLW (B), CVS (C), KDP (B), MU (B), ELAN (B), GTLS (B),
RIO (C), SQ (B), TFC (B), TD (C), ELV (B), DTGHF (B), CTSH (B),
AZO (B), VNQ (B), SHOP (B), MBGAF (B), BP (B), BHP (C), BMRN (C),
REGN (B), CAH (B), CSCO (C), VALE (C), TSM (D), KO (C), HSBC (C),
PXD (C), VOO (C), MRVL (B), PEP (C), OTIS (B), DLR (B), CMG (B),
EXPE (C), DRI (B), INTC (B), VB (C), BX (B), ADP (B), SPLK (B),
IBM (C), DES (C), UBER (B), WFC (C), AMAT (B), IWF (D), SNOW
(B), FCNA (B), WMT (B), FDX (C), MA (C), PF (C), CME (B), MET
(C), NOC (C), KVUE (C), ADBE (D), CMI (B), SWKS (B), KRE (C),
CMCSA (C), ASML (C), BERY (B), STLA (C), IWD (E), GD (B), RTX
(D), VBK (D), CARR (C), PLD (C), VTV (E), KR (C), TMO (C), V (C),
HE (B), ORCL (C), AMGN (C), META (C), MCD (C), COF (C), AMD (C),
S (C), KLAC (C), MAS (C), COWZ (E), VBR (C), OC (C), VOT (C),
AM (C), TSLA (C), SHW (C), CVX (C), UNH (C), LMT (C), VLO (C),
D (C), BKNG (C), CB (C), ABBNY (C), ALB (C), CW (C), XLK (C),
GP (C), QCOM (C), ABT (C), AZN (C), AIG (C), ABBV (C), BLK (C),
K (C), RSPT (C), PSX (D), WMB (D), JPM (D), VRTX (C), MRK (D),
TTWO (C), GOOG/GOOGL (E), XOM (D), STZ (C), EQIX (C), TSCO (C),
HON (D), MPC (C), VXF (D), OLN (C), CSX (C), UNP (D), ZTS (C),
NUE (D), NOBL (E), PH (D), VOE (E), ITOT (D), NFLX (D), ON (D),
LRCX (D), VUG (F), LLY (D), CRM (D), IUSG (D), HD (D), MSFT (E),
URI (D), AAPL (E), EES (E), CDNS (D), QQQ (E), MELI (E), AMZN
(F), AVGO (E), SPY (G), VIG (H), NVDA (F), RSP (H)

Item 6 (sold stock/shares) (financial code range in parentheses)

ABBV (B), AAP (B), AMD (B), GOOG (C), AMZN (B), AMGN (B), APO
(B), AAPL (D), AZN (B), ADP (B), MADV (E), BP (B), KO (B), CTSH
(B), COP (B), DE (B), LLY (C), F (B), FOXA (B), FCX (B), HCA (B),
HES (B), IBM (B), QQQ (C), IBB (C), IWF (E), IWD (D), JPM (B), LH
(B), LOW (B), MCK (B), MSFT (C), MNST (B), NVDA (B), ORCL (B),
SCHW (B), SPY (C), RGR (B), TCT (C), TRTN (C), UPS (B), UNH (B),
VOE (D), VZ (B), WMT (B), DES (D)