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Financial Disclosure Statement 2023

Attachment(s)

Submitted by: Mark E. Recktenwald

Financial Disclosure Statement
Mark E. Recktenwald
April 30, 2024

Attachment

Item 5

I held interests having a value of \$5,000 or more in the following businesses during 2023 (the reporting period).

1. Intel Corp., 2200 Mission College Blvd., P.O. Box 58119, Santa Clara, CA 95052; electronics manufacturing; shares of stock; B.
2. Johnson & Johnson Corp., One Johnson & Johnson Plaza, New Brunswick, New Jersey; manufacturer of health care products; shares of stock; D.
3. Proctor & Gamble, 1 Proctor & Gamble Plaza, Cincinnati, OH. 45202; manufacturing; shares of stock; D.
4. Goldman Sachs Rising Dividend Growth Fund, 200 West Street, New York, NY 10282; mutual fund which invests in stocks, master limited partnerships and real estate investment trusts; shares of fund; D.
5. Western Asset Core Plus Bond Fund; c/o Legg Mason/Western, 100 International Drive, Baltimore, MD 21202; mutual fund which invests in bonds; shares of fund; B.
6. NVIDIA, 2788 San Tomas Expressway, Santa Clara, CA 95051; technology company that manufactures graphics processors and mobile technologies; shares of stock; D
7. Amazon, Inc., 410 Terry Ave. North, Seattle, WA 98109; technology and retailing company; shares of stock; B
8. American Funds Washington Mutual Investors Fund Class F-2; 12711 N. Meridan St., Carmel, IN 46032; mutual fund which invests in equities and bonds; shares of fund; C
9. Cohen & Steers Preferred Securities and Income Fund; 280 Park Ave., 10th Flr., New York, NY 10017; mutual fund which invests in preferred and debt securities; shares of fund; D
10. Fidelity Advisor Growth Opportunities Fund; 100 Crosby Pkwy., KC1G, Covington, KY 41015; mutual fund which invests in securities; shares of fund; C
11. Goldman Sachs Mid Cap Growth Fund Institutional; 200 West Street, New York, NY 10282; mutual fund which invests in securities; shares of fund; B
12. Goldman Sachs Mid Cap Value Fund Institutional, 200 West Street, New York, NY 10282; mutual fund which invests in securities; shares of fund; B

13. Hartford Schrodgers International Stock Fund; 430 West 7th Street, Suite 219060, Kansas City, MO 64105; mutual fund which invests in companies outside the United States; shares of fund; C
14. Invesco Small Cap Value Fund Class Y; 1555 Peachtree Street, Suite 1800, Atlanta, GA 30309; mutual fund which invests in equity securities of small-capitalization companies; shares of fund; B
15. MainStay MacKay High Yield Corporate Bond Fund; New York Life Investments, 30 Hudson St., Jersey City, NJ 07302; mutual fund which invests in high yield debt securities; shares of fund; B
16. Morgan Stanley Institutional Fund, Inc. Active International Allocation; 522 Fifth Avenue, New York, NY 10036; mutual fund which invests in international and global equity; shares of fund; C
17. Morgan Stanley Institutional Fund, Inc., Emerging Market Leaders; 522 Fifth Avenue, New York, NY 10036; mutual fund which invests in emerging market equity; shares of fund; C
18. Principal Real Estate Securities Fund; Principal Funds, 430 W 7th Street, Suite 219971, Kansas City, MO 64105; mutual fund which invests in equity securities of companies engaged in real estate; shares of fund; B
19. Roundhill Ball Metaverse ETF; Roundhill Investments, 154 W. 14th St. Fl.2, New York, NY 10011; exchange traded fund which invests in companies related to the metaverse; shares of fund; B
20. Tesla, 1 Tesla Road, Austin, TX 78725; company that manufactures electric vehicles, solar panels, and batteries; shares of stock; C
21. iShares Semiconductor ETF, 400 Howard St., San Francisco, CA 94105; exchange traded fund that invests in semiconductor companies; shares of stock; B
22. Veeva Systems, 400 Hacienda Dr., Pleasanton, CA 94588; provider of cloud-based software solutions; shares of stock; B
23. The Trade Desk, 42 N. Chestnut St., Ventura, CA 93001; develops software that assists advertisers; shares of stock; B
24. Shopify, 151 O'Connor St., Ground Floor, Ottawa, Canada; developer of e-commerce platform for businesses; shares of stock; B.

Retirement Plans

I am a participant in the Thrift Savings Plan (TSP), which is a retirement savings and investment plan for current and former federal employees. During the reporting period, I held, through the TSP, investments with a total value of more than \$150,000 but less than \$250,000. These investments were invested in the following TSP funds: Government Securities Investment, Fixed Income Index Investment, Common Stock Index Investment, Small Capitalization Stock Index Investment, and International Stock Index Investment. Information regarding the TSP is available at www.tsp.gov.

I am a participant in the Island Savings Plan (ISP), which is a deferred compensation plan for current state employees. During the reporting period, I held, through the ISP,

investments with a total value of at least \$1,000 but less than \$10,000. These investments were held in Blackrock US Large Cap Index. Information regarding the ISP is available at islandsavings.empower-retirement.com.

Item 6

On the dates listed below, I sold interests in the following entities identified in item 5:

1. I sold shares in Tesla on 7/26/23; B
2. I sold shares in The Trade Desk on 12/6/23; B

Item 8

Creditors

My wife and I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. Bank of Hawaii, P.O. Box 3650, Honolulu, HI 96811; Original amount owed: J; amount owed at end of year: J.

I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. SoFi Lending Corp., One Letterman Dr., Building A, Suite 4700, San Francisco, CA 94129; original amount owed: E; amount owed at end of year: None .

Item 9—Real property held

My wife and I jointly owned real property located in zip code 96734; K.
My wife was a joint owner of real property located in zip code 96817; J.