



SUPREME COURT CLERK'S OFFICE
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HONOLULU, HAWAII 96813-2912

FINANCIAL DISCLOSURE STATEMENT

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**Electronically Filed
Supreme Court
SCFD-11-0000269
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Before completing this form please read the instructions for Financial Disclosure Statement, including the text of Supreme Court Rule 15. REMINDER: For all items requiring a monetary amount, the following financial range codes SHOULD be used.

- | | |
|--|--|
| A - Less than \$1,000 | G - At least \$150,000 but less than \$250,000 |
| B - At least \$1,000 but less than \$10,000 | H - At least \$250,000 but less than \$500,000 |
| C - At least \$10,000 but less than \$25,000 | I - At least \$500,000 but less than \$750,000 |
| D - At least \$25,000 but less than \$50,000 | J - At least \$750,000 but less than \$1,000,000 |
| E - At least \$50,000 but less than \$100,000 | K - \$1,000,000 or more |
| F - At least \$100,000 but less than \$150,000 | |

TO BE FILED BY ALL FULL TIME AND PER DIEM JUDGES.

(Type only)

NAME: <u>Recktenwald</u> <u>Mark</u> <u>E.</u> (LAST) (FIRST) (MIDDLE)			NAME OF SPOUSE OR DOMESTIC PARTNER: <u>Gailynn Williamson</u>
OFFICE ADDRESS: <u>417S. King Street</u> NUMBER, STREET			No. of Dependent Children: (Do not include names) <u>0</u>
CITY OR TOWN: <u>Honolulu</u>		ZIP CODE: <u>96813</u>	
JUDICIAL POSITION HELD <u>Chief Justice</u>	DATE OF APPOINTMENT <u>09/14/2020</u>	OFFICE PHONE <u>808-539-4701</u>	

CALENDAR YEAR COVERED BY THIS DISCLOSURE: 2021

ITEM 1 RSCH 15(d)(1)	JUDICIAL COMPENSATION	ANNUAL INCOME <u>G</u>
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ITEM 2 RSCH 15(d)(1)	JUDGE'S OTHER INCOME (if income for services rendered exceeds \$1,000)	
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EMPLOYER/LAW FIRM	BUSINESS ADDRESS	ANNUAL INCOME

ITEM 3 RSCH 15(d)(1)	INCOME OF SPOUSE OR DOMESTIC PARTNER AND DEPENDENT CHILDREN (if income for services rendered exceeds \$1,000)	
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EMPLOYER	ANNUAL INCOME
Employees' Retirement System of the State of Hawaii	<u>D</u>
Social Security	<u>D</u>

ITEM 4 RSCH 15(d)(1)	ANY OTHER INCOME, FOR SERVICES RENDERED, IN EXCESS OF \$1,000 - INCOME DISCLOSED IN ITEMS 1 - 3 NEED NOT BE REPEATED HERE
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SOURCE	NATURE OF SERVICES RENDERED	AMOUNT
Office of Personnel Management	annuity for prior federal employment	C

Check here if entry is None

Check here if you have attached additional sheets

ITEM 5 RSCH 15(d)(2)	EACH OWNERSHIP OR BENEFICIAL INTEREST, HELD IN ANY BUSINESS CARRYING ON BUSINESS IN THE STATE, HAVING A VALUE OF \$5,000 OR MORE OR EQUAL TO 10% OF THE OWNERSHIP OF THE BUSINESS.
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NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	ENTER AMOUNT OR NO. OF SHARES
Please see attachment			

Check here if entry is None

Check here if you have attached additional sheets

ITEM 6 RSCH 15(d)(2)	OWNERSHIP OR BENEFICIAL INTEREST UNDER ITEM 5 TRANSFERRED DURING THIS DISCLOSURE PERIOD
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NAME OF BUSINESS	DATE OF TRANSFER	VALUE OF TRANSFER
Please see attachment		

Check here if entry is None

Check here if you have attached additional sheets

ITEM 7 RSCH 15(d)(3)	LIST EACH OFFICERSHIP, DIRECTORSHIP, TRUSTEESHIP OR OTHER FIDUCIARY RELATIONSHIP HELD IN ANY BUSINESS.
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NAME OF BUSINESS	TITLE AND TERM OF OFFICE	COMPENSATION (enter amount or NONE)

Check here if entry is None

Check here if you have attached additional sheets

ITEM 8 RSCH 15(d)(4)	LIST CREDITORS, OTHER THAN CREDIT CARD ACCOUNTS, TO WHOM MORE THAN \$3,000 WAS OWED DURING THE DISCLOSURE PERIOD. LIST CREDIT CARD DEBT THAT EXCEEDED \$10,000 FOR SIX MONTHS OR MORE.
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NAME AND ADDRESS OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OWED AT END OF YEAR
Please see attachment		

Check here if entry is None Check here if you have attached additional sheets

ITEM 9 RSCH 15(d)(5)	REAL PROPERTY IN THE STATE IN WHICH IS HELD AN INTEREST WITH A FAIR MARKET VALUE OF \$10,000 OR MORE.
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POSTAL ZIP CODE OF LOCATION	VALUE
Please see attachment	

Check here if entry is None Check here if you have attached additional sheets

ITEM 10 RSCH 15(d)(5)	REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000. ACQUIRED DURING THE DISCLOSURE PERIOD.
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POSTAL ZIP CODE OF LOCATION	NATURE OF INTEREST	NAME AND ADDRESS OF PERSON RECEIVING CONSIDERATION	CONSIDERATION GIVEN

Check here if entry is None Check here if you have attached additional sheets

ITEM 11 RSCH 15(d)(5)	REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000, TRANSFERRED DURING THE DISCLOSURE PERIOD.
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POSTAL ZIP CODE OF LOCATION	NAME AND ADDRESS OF PERSON FURNISHING CONSIDERATION	CONSIDERATION RECEIVED

Check here if entry is None Check here if you have attached additional sheets

ITEM 12
RSCH 15(d)(6) CREDITOR INTEREST IN INSOLVENT BUSINESS HAVING A VALUE OF \$5,000 OR MORE.

NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
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Check here if entry is None Check here if you have attached additional sheets

ITEM 13
RSCH 15(d)(7);
Rule 3. 13
Revised Code
of Judicial
Conduct GIFT(S) THAT MUST BE REPORTED UNDER RULE 3. 13(c) OF THE HAWAII REVISIED CODE OF JUDICIAL CONDUCT.

SOURCE	DESCRIPTION OF GIFT	ESTIMATED VALUE
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Check here if entry is None Check here if you have attached additional sheets

ITEM 14
RSCH 15(d)(8)
& 22(h) FULL-TIME JUDGES' APPROVED JUDICIAL EDUCATION

I attended 15.00 hours of Approved Judicial Education during the reporting period.

REMARKS:

See attached sheets.

CERTIFICATION: I hereby certify that the above is a true, correct, and complete statement.

SIGNATURE: /s/ Mark E. Recktenwald

DATE: 04/29/2022

NOTE: This filing is not valid without a signature.

**Electronically Filed
Supreme Court
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Dkt. 44 ATTCH**

Financial Disclosure Statement 2021

Attachment(s)

Submitted by: Mark E. Recktenwald

Financial Disclosure Statement
Mark E. Recktenwald
April 29, 2022

Attachment

Item 5

I held interests having a value of \$5,000 or more in the following businesses during 2021 (the reporting period).

1. Exxon Mobil Corp., 5959 Las Colinas Blvd., Irving, TX. 75039; oil and gas company; shares of stock; B.
2. General Electric Company, Fairfield, CT. 06826; diversified company with interests including finance, healthcare, media and infrastructure; shares of stock; B.
3. Intel Corp., 2200 Mission College Blvd., P.O. Box 58119, Santa Clara, CA 95052; electronics manufacturing; shares of stock; D.
4. Johnson & Johnson Corp., One Johnson & Johnson Plaza, New Brunswick, New Jersey; manufacturer of health care products; shares of stock; D.
5. Proctor & Gamble, 1 Proctor & Gamble Plaza, Cincinnati, OH. 45202; manufacturing; shares of stock; D.
6. American Funds Growth Fund of America, One Market-Steuart Tower Suite 1800, San Francisco, CA. 94105; mutual fund investing in common stocks; shares of fund; C.
7. JP Morgan Mid Cap Value Fund, JP Morgan Distribution Services, 1111 Polaris Parkway, Columbus, OH. 43240; mutual fund which invests in equity securities; C.
8. Templeton Foreign Fund, 500 East Broward Blvd., Suite 2100, Fort Lauderdale, FL. 33394; mutual fund investing primarily in equity securities of companies located outside the United States; shares of fund; C.
9. Goldman Sachs Rising Dividend Growth Fund, 200 West Street, New York, NY 10282; mutual fund which invests in stocks, master limited partnerships and real estate investment trusts; shares of fund; D.
10. Columbia Select Large-Cap Value Fund, formerly known as Seligman Large-Cap Value Fund, Columbia Management Investment Services, Corp., P.O. Box 8081, Boston, MA 02266-8081; mutual fund which invests in securities; shares of fund; C.
11. Western Asset Core Plus Bond Fund; c/o Legg Mason/Western, 100 International Drive, Baltimore, MD 21202; mutual fund which invests in bonds; shares of fund; B.
12. Janus Venture Fund A, 151 Detroit Street, Denver, CO 80206; mutual fund which invests in securities; shares of fund; C.
13. Lazard Emerging Markets Equity Fund; 30 Rockefeller Plaza, New York, NY 10112; mutual fund which invests in non-U.S. companies; shares of

- fund; B.
14. NVIDIA, 2788 San Tomas Expressway, Santa Clara, CA 95051; technology company that manufactures graphics processors and mobile technologies; shares of stock; E
 15. Advanced Micro Devices, Inc. 2485 Augustine Dr., Santa Clara, CA 95054; semiconductor manufacturing; shares of stock; B
 16. Upstart Holdings, Inc., 2950 So. Delaware St., Suite 300, San Mateo, CA 94403; cloud-based lending platform; shares of stock; B
 17. Amazon, Inc., 410 Terry Ave. North, Seattle, WA 98109; multinational technology company; shares of stock; C
 18. American Funds Washington Mutual Investors Fund Class F-2; 12711 N. Meridan St., Carmel, IN 46032; mutual fund which invests in equities and bonds; shares of fund; C
 19. Cohen & Steers Preferred Securities and Income Fund; 280 Park Ave., 10th Flr., New York, NY 10017; mutual fund which invests in preferred and debt securities; shares of fund; D
 20. Fidelity Advisor Growth Opportunities Fund; 100 Crosby Pkwy., KC1G, Covington, KY 41015; mutual fund which invests in securities; shares of fund; C
 21. Goldman Sachs Mid Cap Growth Fund Institutional; 200 West Street, New York, NY 10282; mutual fund which invests in securities; shares of fund; B
 22. Goldman Sachs Mid Cap Value Fund Institutional, 200 West Street, New York, NY 10282; mutual fund which invests in securities; shares of fund; B
 23. Hartford Schrodgers International Stock Fund; 430 West 7th Street, Suite 219060, Kansas City, MO 64105; mutual fund which invests in companies outside the United States; shares of fund; C
 24. Invesco Small Cap Value Fund Class Y; 1555 Peachtree Street, Suite 1800, Atlanta, GA 30309; mutual fund which invests in equity securities of small-capitalization companies; shares of fund; B
 25. MainStay MacKay High Yield Corporate Bond Fund; New York Life Investments, 30 Hudson St., Jersey City, NJ 07302; mutual fund which invests in high yield debt securities; shares of fund; B
 26. Morgan Stanley Institutional Fund, Inc. Active International Allocation; 522 Fifth Avenue, New York, NY 10036; mutual fund which invests in international and global equity; shares of fund; C
 27. Morgan Stanley Institutional Fund, Inc., Emerging Market Lenders; 522 Fifth Avenue, New York, NY 10036; mutual fund which invests in emerging market equity; shares of fund; C
 28. Principal Real Estate Securities Fund; Principal Funds, 430 W 7th Street, Suite 219971, Kansas City, MO 64105; mutual fund which invests in equity securities of companies engaged in real estate; shares of fund; B

Retirement Plans

I am a participant in the Thrift Savings Plan (TSP), which is a retirement savings and investment plan for current and former federal employees. During the reporting period, I held, through the TSP, investments with a total value of more than \$150,000 but less than \$250,000. These investments were invested in the following TSP funds: Government Securities Investment, Fixed Income Index Investment, Common Stock Index Investment, Small Capitalization Stock Index Investment, and International Stock Index Investment. Information regarding the TSP is available at www.tsp.gov.

Item 6

On the dates listed below, I sold interests in the following entities identified in item 5:

1. I sold shares in NVIDIA on 9/30/21; B.
2. I sold shares in Upstart Holdings on 10/20/21; B
3. I sold shares in AMD on 1/29/21; B
4. I sold shares in Exxon Mobil on 9/10/21; B
5. I sold shares in General Electric on 6/23/21; B
6. I sold shares in Intel on 9/10/21; B, and on 6/23/21; C
7. I sold shares in American Funds Growth Fund of America on 8/12/21; D
8. I sold shares in Templeton Foreign Fund on 8/12/21; D
9. I sold shares in Columbia Select Large-Cap Value Fund on 8/12/21; D
10. I sold shares in JP Morgan Mid Cap Value Fund on 8/12/21; C
11. I sold shares in Janus Venture Fund on 8/12/21; C
12. I sold shares in Lazard Emerging Markets Equity Fund on 8/12/21; B

Item 8

Creditors

My wife and I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. Bank of Hawaii, P.O. Box 3650, Honolulu, HI 96811; Original amount owed: J; amount owed at end of year: J.
2. BMW Financial Services, P.O. Box 3608, Dublin, OH 43016-0306; original amount owed: C; amount owed at end of year: None.

I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. SoFi Lending Corp., One Letterman Dr., Building A, Suite 4700, San Francisco, CA 94129; original amount owed: E; amount owed at end of year: D.

Item 9—Real property held

My wife and I jointly owned real property located in zip code 96734; K.
My wife was a joint owner of real property located in zip code 96817; J.