

Financial Disclosure Statement  
Mark E. Recktenwald  
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Attachment

Item 5

I held interests having a value of \$5,000 or more in the following businesses during 2020 (the reporting period).

1. Exxon Mobil Corp., 5959 Las Colinas Blvd., Irving, TX. 75039; oil and gas company; shares of stock; B.
2. General Electric Company, Fairfield, CT. 06826; diversified company with interests including finance, healthcare, media and infrastructure; shares of stock; B.
3. Intel Corp., 2200 Mission College Blvd., P.O. Box 58119, Santa Clara, CA 95052; electronics manufacturing; shares of stock; D.
4. Johnson & Johnson Corp., One Johnson & Johnson Plaza, New Brunswick, New Jersey; manufacturer of health care products; shares of stock; D.
5. Proctor & Gamble, 1 Proctor & Gamble Plaza, Cincinnati, OH. 45202; manufacturing; shares of stock; D.
6. American Funds Growth Fund of America, One Market-Steuart Tower Suite 1800, San Francisco, CA. 94105; mutual fund investing in common stocks; shares of fund; C.
7. JP Morgan Mid Cap Value Fund, JP Morgan Distribution Services, 1111 Polaris Parkway, Columbus, OH. 43240; mutual fund which invests in equity securities; C.
8. Templeton Foreign Fund, 500 East Broward Blvd., Suite 2100, Fort Lauderdale, FL. 33394; mutual fund investing primarily in equity securities of companies located outside the United States; shares of fund; C.
9. Goldman Sachs Rising Dividend Growth Fund, 200 West Street, New York, NY 10282; mutual fund which invests in stocks, master limited partnerships and real estate investment trusts; shares of fund; D.
10. Columbia Select Large-Cap Value Fund, formerly known as Seligman Large-Cap Value Fund, Columbia Management Investment Services, Corp., P.O. Box 8081, Boston, MA 02266-8081; mutual fund which invests in securities; shares of fund; C.
11. Western Asset Core Plus Bond Fund; c/o Legg Mason/Western, 100 International Drive, Baltimore, MD 21202; mutual fund which invests in bonds; shares of fund; B.
12. Janus Venture Fund A, 151 Detroit Street, Denver, CO 80206; mutual fund which invests in securities; shares of fund; C.
13. Lazard Emerging Markets Equity Fund; 30 Rockefeller Plaza, New York,

- NY 10112; mutual fund which invests in non-U.S. companies; shares of fund; B.
14. SPDR S&P 500 ETF Trust; PDR Services LLC, c/o NYSE Euronext, 11 Wall Street, New York, NY, 10005; exchange traded fund that holds shares of S&P 500 companies; shares of fund; D.
  15. NVIDIA, 2788 San Tomas Expressway, Santa Clara, CA 95051; technology company that manufactures graphics processors and mobile technologies; shares of stock; D

## Retirement Plans

I am a participant in the Thrift Savings Plan (TSP), which is a retirement savings and investment plan for current and former federal employees. During the reporting period, I held, through the TSP, investments with a total value of more than \$100,000 but less than \$150,000. These investments were invested in the following TSP funds: Government Securities Investment, Fixed Income Index Investment, Common Stock Index Investment, Small Capitalization Stock Index Investment, and International Stock Index Investment. Information regarding the TSP is available at [www.tsp.gov](http://www.tsp.gov).

## Item 6

On the dates listed below, I sold interests in the following entities identified in item 5:

1. I sold shares in Goldman Sachs Rising Dividend Growth Fund on 1/27/20; B.
2. I sold shares in SPDR S&P 500 ETF Fund on 2/11/20, B; and on 2/28/20, D.

## Item 8

### Creditors

My wife and I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. Bank of Hawaii, P.O. Box 3650, Honolulu, HI 96811; Original amount owed: J; amount owed at end of year: J.
2. BMW Financial Services, P.O. Box 3608, Dublin, OH 43016-0306; original amount owed: C; amount owed at end of year: B.

I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. SoFi Lending Corp., One Letterman Dr., Building A, Suite 4700, San Francisco, CA 94129; original amount owed: E; amount owed at end of year: D.

Item 9—Real property held

My wife and I jointly owned real property located in zip code 96734; K.  
My wife was a joint owner of real property located in zip code 96817; J.