

Katherine G. Leonard
FINANCIAL DISCLOSURE 2020

Item 5 (financial range code in parentheses)

Mutual Funds, ETFs, Managed Accounts

SEEGX (H), WMFFX (G), ANWFX (G), FDYZX (F), TRBCX (F), LDLFX (F), JGINX (E), GSFTX (E), JMGRX (E), OGGFX (E), MADVX (E), THIIX (E), DCCIX (D), ESPNX (D), APDIX (D), JRBRX (C), RSP (H), VIG (G), IWF (F), SPY (G), VOE (E), EES (E), NOBL (E), IWD (E), VBK (D), IUSG (D), DES (D), IEMG (D), ITOT (D), VXF (D), IBB (C), VBR (C), KRE (C), XLE (C), VOT (C), RYT (C), QQQ (E), XLK (B), SBIO (B), VNQ (B), IVW (B), IVV (B), BLACKROCK EQUITY DIVIDEND-LARGE CAP (H), CAPITAL GROUP US GROWTH (H), STABLE VALUE FUND (B), VANGUARD WELLINGTON FUND ADMIRAL SHARES (F), BLACKROCK US LARGE CAP INDEX (G), BLACKROCK US SMALL/MID CAP INDEX (E), HARBOR SMALL CAP VALUE FUND I CLASS (F), AMERICAN FUNDS EUROPACIFIC GROWTH FUND CLASS R-6 (F)

STOCKS

AMZN (E), MELI (E), NVDA (D), AAPL (D), HD (D), NFLX (D), HON (C), UNP (C), CDNS (C), AVGO (C), C (C), PYPL (C), BABA (C), CSX (C), MSFT (C), GOOGL (C), QCOM (C), ABT (C), ALB (C), TSM (C), URI (C), ZTS (C), STX (C), RTX (C), CRM (C), TTWO (C), T (C), JNJ (C), PH (C), LRCX (C), JPM (C), EQIX (C), LMT (C), MRK (C), BLK (C), CB (C), ILMN (C), ICE (C), TGT (C), CMCSA (C), KO (C), GLW (C), BKNG (C), TSCO (C), MCD (C), WMB (C), ABBV (C), SMG (C), BIDU (C), FDX (C), COF (C), CVS (C), SNY (C), DIS (C), CSCO (C), BMRN (C), OLN (C), TFC (C), V (B), ON (B), AZN (B), COR (B), IBM (B), AMGN (B), AVY (B), SWKS (B), GOOG (B), ABB (B), TMO (B), AIG (B), MAS (B), SHW (B), CMI (B), RGR (B), ALGN (B), NOK (B), VZ (B), DDAIF (B), VLO (B), UNH (B), PG (B), MET (B), GILD (B), WMT (B), NUE (B), WHR (B), PSX (B), LLY (B), QTS (B), GPK (B), BNS (B), SPLK (B), PLD (B), EXPE (B), CHKP (B), F (B), KR (B), DEO (B), DLR (B), CME (B), MMM (B), ALXN (B), BUD (B), TD (B), CW (B), AMT (B), EMN (B), VRTX (B), TCEHY (B), KDP (B), ADP (B), PFE (B), ENB (B), MA (B), NOC (B), O (B), DRI (B), BA (B), ORCL (B), INTC (B), BERY (B), MPC (B), ELAN (B), PPL (B), BWXT (B), PXD (B), JACK (B), LVS (B), BAYRY (B), NWL (B), KMI (B), REZI (B), DAL (B), WFC (B), VTR (B), CARR (B), CHL (B), COG (B), OTIS (B), HES (B), BP (B), ATHX (B), BHX (B), CVX (B)

Item 6 (sold all stock/shares) (financial range code in parentheses)

AGN (B), CLR (B), SPG (B), OXY (B), ASIX (B), AKRX (B), CRC (B),
TEVA (B), TRN (B), VEA (E), WLL (B), MBXIX (E)