



SUPREME COURT CLERK'S OFFICE
417 SOUTH KING STREET
HONOLULU, HAWAII 96813-2912

FINANCIAL DISCLOSURE STATEMENT

THIS SPACE FOR OFFICE USE ONLY

Electronically Filed
Supreme Court
SCFD-11-0000269
29-APR-2019
03:25 PM

Before completing this form please read the instructions for Financial Disclosure Statement, including the text of Supreme Court Rule 15. REMINDER: For all items requiring a monetary amount, the following financial range codes may be used.

A - Less than \$1,000
B - At least \$1,000 but less than \$10,000
C - At least \$10,000 but less than \$25,000
D - At least \$25,000 but less than \$50,000
E - At least \$50,000 but less than \$100,000
F - At least \$100,000 but less than \$150,000
G - At least \$150,000 but less than \$250,000
H - At least \$250,000 but less than \$500,000
I - At least \$500,000 but less than \$750,000
J - At least \$750,000 but less than \$1,000,000
K - \$1,000,000 or more

TO BE FILED BY ALL FULL TIME AND PER DIEM JUDGES.

Type only

NAME: Recktenwald Mark E.
(LAST) FIRST (MIDDLE)
OFFICE ADDRESS: 417 S. King Street
NUMBER, STREET
CITY OR TOWN: Honolulu ZIP CODE: 96813

NAME OF SPOUSE OR DOMESTIC PARTNER:

Gailynn Williamson

No. of Dependent Children:
Do not include names)

0

JUDICIAL POSITION HELD

DATE OF APPOINTMENT

OFFICE PHONE

Chief Justice

07/14/2010

539-4701

CALENDAR YEAR COVERED BY THIS DISCLOSURE: 2018

ITEM 1 RSCH 15 d 1	JUDICIAL COMPENSATION	ANNUAL INCOME G
ITEM 2 RSCH 15 d 1	JUDGE'S OTHER INCOME (if income for services rendered exceeds \$1,000)	
EMPLOYER/LAW FIRM		BUSINESS ADDRESS
		ANNUAL INCOME
ITEM 3 RSCH 15 d 1	INCOME OF SPOUSE OR DOMESTIC PARTNER AND DEPENDENT CHILDREN (if income for services rendered exceeds \$1,000)	
EMPLOYER		ANNUAL INCOME
State of Hawaii, University of Hawaii		E
Employees' Retirement System of the State of Hawaii		C
Social Security		C

ITEM 4 RSCH 15 d 1	ANY OTHER INCOME, FOR SERVICES RENDERED, IN EXCESS OF \$1,000 - INCOME DISCLOSED IN ITEMS 1 - 3 NEED NOT BE REPEATED HERE
-----------------------	---

SOURCE	NATURE OF SERVICES RENDERED	AMOUNT
Office of Personnel Management	annuity for prior federal employment	C

☐ Check here if entry is None

☐ Check here if you have attached additional sheets

ITEM 5 RSCH 15 d 2	EACH OWNERSHIP OR BENEFICIAL INTEREST, HELD IN ANY BUSINESS CARRYING ON BUSINESS IN THE STATE, HAVING A VALUE OF \$5,000 OR MORE OR EQUAL TO 10% OF THE OWNERSHIP OF THE BUSINESS.
-----------------------	--

NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	ENTER AMOUNT OR NO. OF SHARES
Please see attachment			

☐ Check here if entry is None

☒ Check here if you have attached additional sheets

ITEM 6 RSCH 15 d 2	OWNERSHIP OR BENEFICIAL INTEREST UNDER ITEM 5 TRANSFERRED DURING THIS DISCLOSURE PERIOD.
-----------------------	--

NAME OF BUSINESS	DATE OF TRANSFER	VALUE OF TRANSFER
Please see attachment		

☐ Check here if entry is None

☒ Check here if you have attached additional sheets

ITEM 7 RSCH 15 d 3	LIST EACH OFFICERSHIP, DIRECTORSHIP, TRUSTEESHIP OR OTHER FIDUCIARY RELATIONSHIP HELD IN ANY BUSINESS.
-----------------------	--

NAME OF BUSINESS	TITLE AND TERM OF OFFICE	COMPENSATION (enter amount or NONE)

☒ Check here if entry is None

☐ Check here if you have attached additional sheets

ITEM 8 RSCH 15 d 4	LIST CREDITORS, OTHER THAN CREDIT CARD ACCOUNTS, TO WHOM MORE THAN \$3,000 WAS OWED DURING THE DISCLOSURE PERIOD. LIST CREDIT CARD DEBT THAT EXCEEDED \$10,000 FOR SIX MONTHS OR MORE.		
NAME AND ADDRESS OF CREDITOR Please see attachment		ORIGINAL AMOUNT OWED	AMOUNT OWED AT END OF YEAR
<input type="checkbox"/> Check here if entry is None <input checked="" type="checkbox"/> Check here if you have attached additional sheets			
ITEM 9 RSCH 15 d 5	REAL PROPERTY IN THE STATE IN WHICH IS HELD AN INTEREST WITH A FAIR MARKET VALUE OF \$10,000 OR MORE.		
POSTAL ZIP CODE OF LOCATION Please see attachment		VALUE	
<input type="checkbox"/> Check here if entry is None <input checked="" type="checkbox"/> Check here if you have attached additional sheets			
ITEM 10 RSCH 15 d 5	REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000, ACQUIRED DURING THE DISCLOSURE PERIOD.		
POSTAL ZIP CODE OF LOCATION	NATURE OF INTEREST	NAME AND ADDRESS OF PERSON RECEIVING CONSIDERATION	CONSIDERATION GIVEN
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if you have attached additional sheets			
ITEM 11 RSCH 15 d 5	REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000, TRANSFERRED DURING THE DISCLOSURE PERIOD.		
POSTAL ZIP CODE OF LOCATION	NAME AND ADDRESS OF PERSON FURNISHING CONSIDERATION		CONSIDERATION RECEIVED
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if you have attached additional sheets			

ITEM 12 RSCH 15 d 6	CREDITOR INTEREST IN INSOLVENT BUSINESS HAVING A VALUE OF \$5,000 OR MORE.
------------------------	--

NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE

☒ Check here if entry is None

☐ Check here if you have attached additional sheets

ITEM 13 RSCH 15 d 7 ; Rule 3.13 Revised Code of Judicial Conduct	GIFT(S) THAT MUST BE REPORTED UNDER RULE 3.13(c) OF THE HAWAII REVISED CODE OF JUDICIAL CONDUCT.
---	--

SOURCE	DESCRIPTION OF GIFT	ESTIMATED VALUE

☒ Check here if entry is None

☐ Check here if you have attached additional sheets

ITEM 14 RSCH 15 d 8 & 22(h)	FULL-TIME JUDGES' APPROVED JUDICIAL EDUCATION
-----------------------------------	---

I attended 15 hours of Approved Judicial Education during the reporting period.

REMARKS:

☐ See attached sheets.

CERTIFICATION: I hereby certify that the above is a true, correct, and complete statement.

SIGNATURE: /s/ Mark E. Recktenwald

DATE: 04/29/2019

NOTE: This filing is not valid without a signature.

Financial Disclosure Statement
Mark E. Recktenwald
April 29, 2019

**Electronically Filed
Supreme Court
SCFD-11-0000269
29-APR-2019
03:31 PM**

Attachment

Item 5

I held interests having a value of \$5,000 or more in the following businesses during 2018 (the reporting period).

1. Exxon Mobil Corp., 5959 Las Colinas Blvd., Irving, TX. 75039; oil and gas company; shares of stock; B.
2. General Electric Company, Fairfield, CT. 06826; diversified company with interests including finance, healthcare, media and infrastructure; shares of stock; C.
3. Intel Corp., 2200 Mission College Blvd., P.O. Box 58119, Santa Clara, CA 95052; electronics manufacturing; shares of stock; D.
4. Johnson & Johnson Corp., One Johnson & Johnson Plaza, New Brunswick, New Jersey; manufacturer of health care products; shares of stock; D.
5. Proctor & Gamble, 1 Proctor & Gamble Plaza, Cincinnati, OH. 45202; manufacturing; shares of stock; C.
6. American Funds Growth Fund of America, One Market-Steuart Tower Suite 1800, San Francisco, CA. 94105; mutual fund investing in common stocks; shares of fund; C.
7. JP Morgan Mid Cap Value Fund, JP Morgan Distribution Services, 1111 Polaris Parkway, Columbus, OH. 43240; mutual fund which invests in equity securities; C.
8. Templeton Foreign Fund, 500 East Broward Blvd., Suite 2100, Fort Lauderdale, FL. 33394; mutual fund investing primarily in equity securities of companies located outside the United States; shares of fund; C.
9. Goldman Sachs Rising Dividend Growth Fund, 200 West Street, New York, NY 10282; mutual fund which invests in stocks, master limited partnerships and real estate investment trusts; shares of fund; D.
10. Columbia Select Large-Cap Value Fund, formerly known as Seligman Large-Cap Value Fund, Columbia Management Investment Services, Corp., P.O. Box 8081, Boston, MA 02266-8081; mutual fund which invests in securities; shares of fund; C.
11. Western Asset Core Plus Bond Fund; c/o Legg Mason/Western, 100 International Drive, Baltimore, MD 21202; mutual fund which invests in bonds; shares of fund; B.
12. Janus Venture Fund A, 151 Detroit Street, Denver, CO 80206; mutual fund which invests in securities; shares of fund; C.
13. Lazard Emerging Markets Equity Fund; 30 Rockefeller Plaza, New York,

- NY 10112; mutual fund which invests in non-U.S. companies; shares of fund; B.
14. SPDR S&P 500 ETF Trust; PDR Services LLC, c/o NYSE Euronext, 11 Wall Street, New York, NY, 10005; exchange traded fund that holds shares of S&P 500 companies; shares of fund; D.

Retirement Plans

I am a participant in the Thrift Savings Plan (TSP), which is a retirement savings and investment plan for current and former federal employees. During the reporting period, I held, through the TSP, investments with a total value of more than \$100,000 but less than \$150,000. These investments were invested in the following TSP funds: Government Securities Investment, Fixed Income Index Investment, Common Stock Index Investment, Small Capitalization Stock Index Investment, and International Stock Index Investment. Information regarding the TSP is available at www.tsp.gov.

Item 6

On the dates listed below, I sold interests in the following entities identified in item 5:

1. I sold shares in Intel Corp. on 3/6/18.
2. I sold shares in SPDR S&P 500 ETF Fund on 9/13/18, 10/5/18, 10/29/18 and 12/27/18.

Item 8

Creditors

My wife and I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. Bank of Hawaii, P.O. Box 3650, Honolulu, HI 96811; Original amount owed: J; amount owed at end of year: J.
2. BMW Financial Services, P.O. Box 3608, Dublin, OH 43016-0306; original amount owed: C; amount owed at end of year: C.

I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. SoFi Lending Corp., One Letterman Dr., Building A, Suite 4700, San Francisco, CA 94129; original amount owed: E; amount owed at end of year: E.

Item 9—Real property held

My wife and I jointly owned real property located in zip code 96734; K.
My wife was a joint owner of real property located in zip code 96817; J.