JUDICIAL PERFORMANCE PROGRAM 2018 REPORT

THE JUDICIARY STATE OF HAWAI'I November 9, 2018

JUDICIAL PERFORMANCE PROGRAM 2018 REPORT

INTRODUCTION

The Judicial Performance Program 2018 Report summarizes the results of evaluations involving nine Circuit Court judges, nine Family Court judges, and nine District Court judges. The attorney evaluations were conducted over the Internet.

To ensure the security, anonymity, and confidentiality of the evaluation process, it was administered by Hawai'i Information Consortium. Hawai'i Information Consortium maintains and manages the eHawaii.gov web portal. It is a company that is completely independent of the Judiciary.

The Judicial Performance Program was created by Supreme Court Rule 19 as a method of promoting judicial competence and excellence. The members of the Judicial Performance Committee are listed in Appendix A.

JUDGES' RATINGS

Judges are rated on Legal Ability, Judicial Management Skills, Comportment, and Settlement and/or Plea Agreement Ability. All yearly reports on the Judicial Performance Program are available to the public. Scores and comments received for individual judges are available to the Judicial Selection Commission, upon its request.

Pictographs displaying frequency distributions of the judges' ratings are included in this evaluation report. Comparative rankings are provided in each area of assessment.

EVALUATION CYCLES

Circuit Court judges are scheduled for evaluation three times in their ten-year terms. Full time District Family Court judges and District Court judges are scheduled for evaluation twice in their six-year terms. For purposes of this program, Circuit Court judges assigned to the Family Court of the First Circuit are considered Family Court judges but are evaluated three times during their ten-year terms. A portion of the Per Diem judge pool is scheduled for evaluation every three years.

The full time Family Court and District Court evaluations are phased to result in these programs being included in the evaluation process two out of every three years. About one-half or approximately ten judges from each group are evaluated per cycle. Evaluation of Family Court, but not of District Court, judges was conducted in 2017. Evaluations of both Family

Court and District Court judges were conducted in 2018. Evaluation of District Court, but not of Family Court, judges is scheduled for 2019.

JUDICIAL EVALUATION REVIEW PANEL

The Judicial Evaluation Review Panel assists Chief Justice Mark E. Recktenwald in the review and evaluation process. The Review Panel interviews the judges and consists of nine members: Robert Alm, Momi Cazimero, Kenneth Hipp, Douglas McNish, Willson Moore Jr., Shackley Raffetto, William Santos, Corinne Watanabe, and Ruthann Yamanaka. The Review Panels are organized into groups of three; every effort is made for each panel to consist of one former judge, one nonpracticing attorney, and one member of the public knowledgeable in the law. Their purpose is to interview and counsel the evaluated judges and to help the judges improve their performance.

CIRCUIT COURT RESULTS

Nine Circuit Court judges received the results of their evaluations under cover of memoranda dated November 8, 2018. One other judge did not have the minimum eighteen responses needed to be evaluated.

A link to the questionnaire was provided to attorneys by email on July 24, 2018, and the surveys were collected from July 24 to August 17, 2018. The email to attorneys from Chief Justice Recktenwald is printed in Appendix B. A copy of the questionnaire is attached as Appendix C.

Possible scores for the multiple-choice format range from <u>one</u> to <u>five</u>. One indicates a Poor rating. Five stands for Excellent. Table 1 provides the averages for the nine Circuit Court judges.

The mean score for the Legal Ability Section was 3.7, with a standard deviation of 0.4. The standard deviation gives an indication of the variation in the scores of the judges. (A small standard deviation means that scores generally were clustered about the mean; a large standard deviation means that there was less clustering of the scores.) Most of the Circuit Court judges received marks between 3.3 and 4.1 in the Legal Ability section.

For the Judicial Management Skills Section, the judges had a mean score of 3.8. The standard deviation for this section was 0.3. The mean score for the Comportment Section was 3.9, with a standard deviation of 0.5. The mean score for the Settlement and/or Plea Agreement Ability Section was 3.7, with a standard deviation of 0.4. The frequencies of the Circuit Court judges' ratings, by category, are shown in Graphs 1 to 4.

There were 331 responses from attorneys out of 4,474 emails sent out. This includes responses for the judge who had fewer than eighteen questionnaires. The number of responses did not equal the number of questionnaires received. A copy of the reminder email sent to attorneys is provided in Appendix D. The number of questionnaires received for the nine judges totaled 377, with between 19 and 81 questionnaires received for each judge.

TABLE 1 JUDICIAL PERFORMANCE PROGRAM – CIRCUIT COURT EVALUATION RESULTS FOR NINE JUDGES JULY 24, 2018 – AUGUST 17, 2018

QUESTIONNAIRE SECTION	Ν	Mean Score	S.D.
LEGAL ABILITY SECTION			
 Knowledge of Relevant Substantive Law Knowledge of Rules of Procedure Knowledge of Rules of Evidence Ability to Identify and Analyze Judgment in Application of Relevant Laws Giving Reasons for Rulings when Needed Clarity of Explanation of Rulings Adequacy of Findings of Fact Clarity of Judge's Decision(s) 	9 9 9 9 9 9 9 9 9	3.8 3.9 3.8 3.8 3.7 3.7 3.6 3.6 3.6 3.7	$\begin{array}{c} 0.5 \\ 0.5 \\ 0.4 \\ 0.4 \\ 0.4 \\ 0.4 \\ 0.4 \\ 0.4 \\ 0.4 \end{array}$
 Completeness of Judge's Decision(s) Judge's Charge to the Jury/Juries 	9 9	3.7 3.9	0.4 0.4
Average Score for the Legal Ability Section JUDICIAL MANAGEMENT SKILLS SECTION	9	3.7	0.4
 Moving the Proceeding(s) Maintaining Proper Control Doing the Necessary Homework on the Case(Rendering Rulings and Decisions w/o Delay Allowing Adequate Time Resourcefulness and Common Sense Skills in Effecting Compromise Industriousness 	9 9 9 9 9 9 9 9	3.8 4.0 3.8 4.0 3.9 3.7 3.6 3.9	$\begin{array}{c} 0.5 \\ 0.4 \\ 0.4 \\ 0.3 \\ 0.5 \\ 0.4 \\ 0.4 \\ 0.4 \end{array}$
Average Score for the Judicial Management Skills Section COMPORTMENT SECTION	9	3.8	0.3
 Attentiveness Courtesy to Participants Compassion Patience Absence of Arrogance Absence of Bias and Prejudice Evenhanded Treatment of Litigants Evenhanded Treatment of Attorneys 	9 9 9 9 9 9 9	4.1 3.9 3.7 3.6 3.8 4.1 3.8 3.8	$\begin{array}{c} 0.4 \\ 0.6 \\ 0.5 \\ 0.6 \\ 0.4 \\ 0.5 \\ 0.6 \end{array}$

Average Score for the Comportment Section	9	3.9	0.5
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SETTLEMENT AND/OR PLEA AGREEMENT ABILITY SECTION

1.	Knowing the Case(s) and/or the Law	9	3.8	0.4
2.	Reasonableness of Opinions	9	3.7	0.4
3.	Ability to Enhance the Settlement Process	9	3.5	0.4
4.	Impartiality	9	3.7	0.4
5.	Absence of Coercion or Threat	9	3.9	0.5
6.	Effectiveness in Narrowing the Issues	9	3.8	0.4
7.	Appropriateness of Judge's Initiatives	9	3.6	0.4
8.	Facilitation in Development of Options	9	3.6	0.4
Av	erage Score for the Settlement Section	9	3.7	0.4

N = Number of Judges with More Than Five Responses for the Item Legend for Mean Score: 5 = Excellent 4 = Good 3 = Adequate 2 = Less Than Adequate 1 = Poor S.D. = Standard Deviation

Graph 1. Legal Ability Scale Frequency of Judges' Ratings, By Category July 24, 2018 – August 17, 2018

No of Judges36Scale Interval Category2.5 to 3.43.5 to 4.4AdequateGood

Graph 2. Judicial Management Skills ScaleFrequency of Judges' Ratings, By CategoryJuly 24, 2018 – August 17, 2018

No of Judges18Scale Interval Category2.5 to 3.43.5 to 4.4AdequateGood

Graph 3. Comportment Scale Frequency of Judges' Ratings, By Category July 24, 2018 – August 17, 2018

No of Judges	3	5	1
Scale Interval Category	2.5 to 3.4	3.5 to 4.4	4.5 to 5.0
	Adequate	Good	Excellent

Graph 4. Settlement/Plea Agreement Ability Scale Frequency of Judges' Ratings, By Category July 24, 2018 – August 17, 2018

No of Judges36Scale Interval Category2.5 to 3.43.5 to 4.4AdequateGood

FAMILY COURT RESULTS

Nine Family Court judges received the results of their evaluations under cover of memoranda dated June 26, 2018. A link to the questionnaire was provided to attorneys by email on April 3, 2018. The surveys could be filled out from April 3 to April 27, 2018.

A copy of the questionnaire is provided in Appendix E. Table 2 provides the averages for the nine judges.

The mean score for the Legal Ability section was 4.0, with a standard deviation of 0.4. Most of the judges scored between 3.6 and 4.4 in this section.

The mean score for the Judicial Management Skills Section was 4.0, with a standard deviation of 0.3. The mean score for the Comportment Section was 4.1, with a standard deviation of 0.3. The mean score for the Settlement and/or Plea Agreement Ability Section was 4.0, with a standard deviation of 0.3. The frequencies of the judges' ratings, by category, are shown in Graphs 5 to 8.

There were 238 responses from 4,514 emails sent out to attorneys. Some of the 238 attorneys said they had not appeared before any judges. Other attorneys sent in responses with evaluations for more than one judge. The number of questionnaires received for the nine judges totaled 242, with between 21 and 36 questionnaires per judge.

TABLE 2 JUDICIAL PERFORMANCE PROGRAM – FAMILY COURT EVALUATION RESULTS FOR NINE JUDGES APRIL 3, 2018 – APRIL 27, 2018

QUESTIONNAIRE SECTION	Ν	Mean Score	S.D.
LEGAL ABILITY SECTION			
1. Knowledge of Relevant Substantive Law	9	4.1	0.4
2. Knowledge of Rules of Procedure	9	4.2	0.4
3. Knowledge of Rules of Evidence	9	4.2	0.4
4. Ability to Identify and Analyze	9	4.2	0.4
5. Judgment in Application of Relevant Laws	9	4.0	0.4
6. Giving Reasons for Rulings when Needed	9	3.9	0.4
7. Clarity of Explanation of Rulings	9	3.9	0.5
8. Adequacy of Findings of Fact	9	3.9	0.5
9. Clarity of Judge's Decision(s)	9	3.9	0.4
10. Completeness of Judge's Decision(s)	9	3.9	0.5
11. Judge's Charge to the Jury/Juries	0		
Average Score for the Legal Ability Section	9	4.0	0.4
JUDICIAL MANAGEMENT SKILLS SECTION			
1. Moving the Proceeding(s)	9	3.7	0.5
2. Maintaining Proper Control	9	4.1	0.4
3. Doing the Necessary Homework on the Case(s) 9	4.0	0.5
4. Rendering Rulings and Decisions w/o Delay	9	4.0	0.4
5. Allowing Adequate Time	9	4.1	0.3
6. Resourcefulness and Common Sense	9	4.0	0.4
7. Skills in Effecting Compromise	9	3.8	0.4
8. Industriousness	9	4.2	0.4
Average Score for the	9	4.0	0.3
Judicial Management Skills Section			
COMPORTMENT SECTION			
1. Attentiveness	9	4.4	0.3
2. Courtesy to Participants	9	4.2	0.3
3. Compassion	9	4.1	0.3
4. Patience	9	4.0	0.4
5. Absence of Arrogance	9	4.1	0.5
6. Absence of Bias and Prejudice	9	4.2	0.3
7. Evenhanded Treatment of Litigants	9	4.1	0.4
8. Evenhanded Treatment of Attorneys	9	4.0	0.4

Average Score for the Comportment Section94.1

0.3

SETTLEMENT AND/OR PLEA AGREEMENT ABILITY SECTION

1.	Knowing the Case(s) and/or the Law	9	4.0	0.4
2.	Reasonableness of Opinions	9	4.0	0.4
3.	Ability to Enhance the Settlement Process	9	3.8	0.3
4.	Impartiality	9	4.0	0.3
5.	Absence of Coercion or Threat	9	4.2	0.4
6.	Effectiveness in Narrowing the Issues	9	4.0	0.4
7.	Appropriateness of Judge's Initiatives	9	3.9	0.3
8.	Facilitation in Development of Options	9	3.8	0.3
Av	erage Score for the Settlement Section	9	4.0	0.3

N = Number of Judges with More Than Five Responses for the Item Legend for Mean Score: 5 = Excellent 4 = Good 3 = Adequate 2 = Less Than Adequate 1 = Poor S.D. = Standard Deviation

Graph 5. Legal Ability Scale Frequency of Judges' Ratings, By Category April 3, 2018 – April 27, 2018

No. of Judges	1	6	2
Scale Interval Category	2.5 to 3.4	3.5 to 4.4	4.5 to 5.0
	Adequate	Good	Excellent

Graph 6. Judicial Management Skills Scale Frequency of Judges' Ratings, By Category April 3, 2018 – April 27, 2018

No. of Judges	1	7	1
Scale Interval Category	2.5 to 3.4	3.5 to 4.4	4.5 to 5.0
	Adequate	Good	Excellent

Graph 7. Comportment Scale Frequency of Judges' Ratings, By Category April 3, 2018 – April 27, 2018

No. of Judges	1	7	1
Scale Interval Category	2.5 to 3.4	3.5 to 4.4	4.5 to 5.0
	Adequate	Good	Excellent

Graph 8. Settlement/Plea Agreement Ability Scale Frequency of Judges' Ratings, By Category April 3, 2018 – April 27, 2018

No. of Judges 1 8 Scale Interval Category 2.5 to 3.4 3.5 to 4.4 Adequate Good

DISTRICT COURT RESULTS

Evaluation results were transmitted to nine District Court judges by Chief Justice Recktenwald under cover of memoranda dated March 21, 2018. Surveys could be completed from January 17 to February 9, 2018.

Although eleven judges were selected, only nine judges received the minimum eighteen responses. The other two judges did not receive evaluation reports.

A copy of the District Court questionnaire is printed in Appendix F. Table 3 provides the averages for the nine judges. The frequencies of the judges' ratings, by category, are shown in graphs 9 to 12.

The mean score for the Legal Ability Section was 4.0, and the standard deviation was 0.4. Most of the judges received scores between 3.6 and 4.4.

The mean score for the Judicial Management Skills Section was 4.1, and the standard deviation was 0.3. The mean score for the Comportment Section was 4.2, and the standard deviation was 0.3. The mean score for the Settlement and/or Plea Agreement Ability Section was 4.0, and the standard deviation was 0.3.

Of the 4,576 lawyers who were sent emails, 327 returned evaluations. Some of the 327 lawyers said they had not appeared before any judges, and some lawyers appeared before two or more judges.

The nine evaluated judges received between 22 and 59 evaluations each. The nine judges had a total of 335 evaluations returned.

TABLE 3 JUDICIAL PERFORMANCE PROGRAM – DISTRICT COURT EVALUATION RESULTS FOR NINE JUDGES JANUARY 17, 2018 – FEBRUARY 9, 2018

QUESTIONNAIRE SECTION	N	Mean Score	S.D.
LEGAL ABILITY SECTION			
1. Knowledge of Relevant Substantive Law	9	4.1	0.5
2. Knowledge of Rules of Procedure	9	4.2	0.5
3. Knowledge of Rules of Evidence	9	4.0	0.5
4. Ability to Identify and Analyze	9	4.1	0.5
5. Judgment in Application of Relevant Laws	9	4.0	0.4
6. Giving Reasons for Rulings when Needed	9	4.0	0.5
7. Clarity of Explanation of Rulings	9	4.0	0.4
8. Adequacy of Findings of Fact	9	3.9	0.4
9. Clarity of Judge's Decision(s)	9	4.0	0.4
10. Completeness of Judge's Decision(s)	9	4.1	0.4
Average Score for the Legal Ability Section	9	4.0	0.4
JUDICIAL MANAGEMENT SKILLS SECTION			
1. Moving the Proceeding(s)	9	4.2	0.3
2. Maintaining Proper Control	9	4.2	0.4
3. Doing the Necessary Homework on the Case(s)9	4.0	0.4
4. Rendering Rulings and Decisions w/o Delay	9	4.3	0.3
5. Allowing Adequate Time	9	4.1	0.3
6. Resourcefulness and Common Sense	9	4.1	0.4
7. Skills in Effecting Compromise	9	3.9	0.4
8. Industriousness	9	4.2	0.3
Average Score for the Judicial Management Skills Section	9	4.1	0.3
COMPORTMENT SECTION			
1. Attentiveness	9	4.3	0.3
2. Courtesy to Participants	9	4.2	0.3
3. Compassion	9	4.1	0.3
4. Patience	9	4.1	0.3
5. Absence of Arrogance	9	4.1	0.3
 Absence of Bias and Prejudice 	9	4.3	0.3
 Absence of Dias and Frequence Evenhanded Treatment of Litigants 	9	4.1	0.3
 Evenhanded Treatment of Effgants Evenhanded Treatment of Attorneys 	9	4.1	0.4
-	-		
Average Score for the Comportment Section	9	4.2	0.3

SETTLEMENT AND/OR PLEA AGREEMENT ABILITY SECTION

1.	Knowing the Case(s) and/or the Law	9	4.0	0.5
2.	Reasonableness of Opinions	9	4.0	0.5
3.	Ability to Enhance the Settlement Process	9	3.9	0.3
4.	Impartiality	9	4.0	0.4
5.	Absence of Coercion or Threat	9	4.3	0.3
6.	Effectiveness in Narrowing the Issues	9	4.1	0.4
7.	Appropriateness of Judge's Initiatives	9	4.0	0.3
8.	Facilitation in Development of Options	9	3.9	0.3
Av	erage Score for the Settlement Section	9	4.0	0.3

N = Number of Judges with More Than Five Responses for the Item Legend for Mean Score: 5 = Excellent 4 = Good 3 = Adequate 2 = Less Than Adequate 1 = Poor S.D. = Standard Deviation

Graph 9. Legal Ability Scale Frequency of Judges' Ratings, By Category January 17, 2018 – February 9, 2018

No. of Judges	1	7	1
Scale Interval Category	2.5 to 3.4	3.5 to 4.4	4.5 to 5.0
	Adequate	Good	Excellent

Graph 10. Judicial Management Skills Scale Frequency of Judges' Ratings, By Category January 17, 2018 – February 9, 2018

No. of Judges	1	7	1
Scale Interval Category	2.5 to 3.4	3.5 to 4.4	4.5 to 5.0
	Adequate	Good	Excellent

Graph 11. Comportment Scale Frequency of Judges' Ratings, By Category January 17, 2018 – February 9, 2018

No. of Judges72Scale Interval Category3.5 to 4.44.5 to 5.0GoodExcellent

Graph 12. Settlement/Plea Agreement Ability Scale Frequency of Judges' Ratings, By Category January 17, 2018 – February 9, 2018

No. of Judges18Scale Interval Category2.5 to 3.43.5 to 4.4AdequateGood

APPENDIX A

MEMBERS OF THE JUDICIAL PERFORMANCE COMMITTEE

Judge R. Mark Browning, Chair Judge Rhonda I. L. Loo Judge Clarence A. Pacarro Hayley Y.C. Cheng, Esq. Claire K. S. Cooper Rosemary T. Fazio, Esq. P. Gregory Frey, Esq. Jeen H. Kwak, Esq. Rodney A. Maile, Esq., Administrative Director of the Courts R. Patrick McPherson, Esq. John S. Nishimoto, Esq. Janice Wakatsuki **APPENDIX B**

EMAIL FROM CHIEF JUSTICE RECKTENWALD RE JUDICIAL EVALUATIONS

To:

From: Michael.A.Oki@courts.hawaii.gov

Sent: July 24, 2018

Subject: Email From Chief Justice Recktenwald Re Judicial Evaluations

Dear Attorney:

The Judiciary is conducting an online evaluation of Circuit Court Judges _____, ____, ____, ____, ____, ____, ____, and _____. The purpose of the evaluation is to help the judges improve their performance. The Judiciary encourages all active members of the Hawaii State Bar Association to participate in the evaluation process.

The judicial evaluation program is designed to give you an opportunity to provide input concerning these individuals. Judges are receptive to receiving your comments, suggestions, and feedback. Your evaluations serve to enhance judicial performance and improve the judicial skills and techniques of Hawai'i's judges. If an insufficient number of evaluations for a particular judge are received, then that judge will not be evaluated.

Please click on the Begin Evaluation button below to commence your judicial evaluations. The link is unique to your email address, so please do not forward this email. You may exit and later return to the evaluations simply by clicking this button. The judicial evaluations will remain accessible to you until August 17, 2018.

To ensure security and confidentiality, the evaluation process is conducted by SurveyMonkey. It is administered by the eHawaii.gov web portal, which is independent of the Judiciary. Only composite results are transmitted to the Judiciary. <u>Please reference http://www.courts.state.hi.us/courts/performance_review/judge_evaluations_faqs_for_a_list_of</u> <u>Frequently Asked Questions.</u> To read the judicial evaluation reports, follow the link to the Judicial Performance Program.

The evaluation is designed to obtain fair assessments from <u>attorneys who actually had any</u> <u>cases or served in any other capacity with the evaluated judge</u>. Please ensure that your evaluation is based solely on your direct experience and not obtained through hearsay or through other means.

Thank you for your consideration. If you have any questions, please contact Michael Oki at (808)539-4870.

Sincerely,

Mark E. Recktenwald Chief Justice Supreme Court of Hawai'i **APPENDIX C**

CIRCUIT COURT QUESTIONNAIRE

Judicial Circuit Court Evaluation – July 2018 Sample – Basic Evaluation Questions

Please answer all multiple choice questions. There will be a place for general comments at the end of the evaluation.

*1. Did you have any cases or serve in any other capacity with this judge during the period from July 1, 2016 to June 30, 2018? (If you answer No, please skip questions 2 and 3, and proceed by clicking on Continue).

Yes No

2. How many times have you appeared before this judge during the referenced period?

1-2 3-5 6-10 More than 10

3. For what types of matters have you appeared before this Judge during the referenced period ? (Please select all that apply.)

Jury trial(s)

Nonjury trial(s)

Contested motion(s) with significant legal issues

Settlement or pretrial plea agreement conference(s)

Evidentiary hearing(s)

Sentencing(s)

Other substantive matter(s) (describe)

Judicial Circuit Court Evaluation – July 2018 Sample – Legal Ability

This section deals with legal competence, learning, and understanding. It also deals with the judicial application of knowledge in the conduct of court proceedings.

1. Knowledge	of relevant	substantive law
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Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
2. Knowle	dge of rules	of procedure				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
3. Knowle	dge of rules	of evidence				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
4. Ability t	to identify a	nd analyze relevar	nt issues			
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
5. Judgmer	nt in applica	tion of relevant la	ws and rules			
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
6. Giving r	easons for r	ulings when neede	ed			
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
7. Clarity of explanation of rulings						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
8. Adequacy of findings of fact						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
9. Clarity of judge's decision(s) (oral/written)						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	

10. Completene	ss of judge's c	decision(s)	(oral/written)

Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
11. Judge's	s charge to the	he jury/juries			
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable

Judicial Circuit Court Evaluation – July 2018 Sample – Judicial Management Skills

This section deals with judicial ability and skill in the organization, management, and handling of court proceedings.

1. Moving the proceeding(s) in an appropriately expeditious manner					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
2. Maintain	ning proper	control over the pr	roceeding(s)		
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
3. Doing th	ne necessary	homework on the	e case(s)		
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
4. Renderin	ng rulings aı	nd decisions with	out unnecessary delay		
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
5. Allowing adequate time for presentation of the case(s) or motion(s) in light of existing time constraints					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
6. Resourc	efulness and	l common sense ir	resolving problems aris	sing from th	e proceeding(s)
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
7. Skills in effecting compromise					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
8. Industriousness					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable

Judicial Circuit Court Evaluation – July 2018 Sample – Comportment

This section deals with various aspects of judicial personality and behavior in the court proceedings, such as temperament, attitude, and manner.

1. Attentiveness

Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
2. Courtes	y to particip	ants				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
3. Compas	sion					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
4. Patience						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
5. Absence	e of arrogand	ce				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
6. Absence	e of bias and	prejudice based or	n race, sex, ethnicity, rel	igion, social	class, or other factor	
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
7. Evenhanded treatment of litigants						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
8. Evenhanded treatment of attorneys						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	

Judicial Circuit Court Evaluation – July 2018 Sample – Settlement and/or plea agreement ability

This section assumes you have participated in one or more settlement/plea agreement conferences with this judge. This section deals with the settlement/plea agreement process including settlement conferences pursuant to rule 12.1, circuit court rules, and pretrial conferences involving rule 11, rules of penal procedure.

1. Knowing the case(s) and/or the law well enough to address key issues

Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
2. Reasona	ableness of c	pinions on how k	ey issues might be resolv	ved at trial	
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
3. Ability agreement		he settlement proc	cess by creating consensu	us or to facil	itate the plea
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
4. Impartia	ality as to ho	w/in whose favor	agreement was reached		
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
5. Absence	e of coercior	or threat			
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
6. Effectiv	eness in nar	rowing the issues	in dispute		
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
7. Appropriateness of judge's settlement/plea initiatives					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
8. Facilitation in development of options for settlement/plea					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable

Judicial Circuit Court Evaluation – July 2018 Sample – Comment Page

We understand that anonymity is important. However, the more specific the input, the more useful it will be for the judge. Constructive comments that explain why a judge is viewed positively or negatively will assist the judge more than broad statements that a judge is good or not good. Please be advised that your comments will be forwarded to the Chief Justice. If your comments relate to a case that is on appeal, you should exercise caution in your remarks. Please remember not to identify yourself.

1. Legal ability

2. Judicial management skills

3. Comportment

4. Settlement/plea agreement ability

5. Overall/General

Judicial Circuit Court Evaluation – July 2018 Sample – Evaluation Complete

1. Thank you for completing the evaluation for Judge _____.

I would like to fill out an evaluation for another judge.

I have completed evaluations for all judges.

Judicial Circuit Court Evaluation – July 2018 Sample – Background Characteristics

This information will be used for statistical purposes only.

1. How long have you practiced law ? (years)

0 to 3 4 to 7 8 to 11 12 to 15 16 to 19 20 to 23 24 to 27 28 or more Decline to answer

2. Which of the following describes your practice of law?

Solo (including office sharing) Law firm with 2-15 attorneys

Law firm with more than15 attorneys

Corporate or house counsel

Pro se (Representing self)

Government

Decline to answer

Other (please specify)

Judicial Circuit Court Evaluation – July 2018 Sample – Submit Evaluations

Please confirm that you have completed evaluations for judges you have appeared before and you are ready to submit your responses.

Thank you for taking the time to provide feedback. Your opinion is very important.

If you have any questions about this evaluation, please call the Planning and Program Evaluation Division at (808)539-4870. Mahalo!

1. Please let us know what you think of the online evaluation process. Are you comfortable with the confidentiality and anonymity of this process? Why or why not?

APPENDIX D

REMINDER EMAIL TO ATTORNEYS

Bcc:

From: Michael.A.Oki@courts.hawaii.gov

Date: July 30, 2018

Subject: Circuit Court Judicial Evaluation

Dear Attorney:

The Judiciary recently sent you an email regarding the evaluation of Circuit Court Judges. We are asking you to fill out a form if you had any cases or served in any other capacity with one or more of the judges identified in the evaluation.

If you did not receive the Circuit Court email or if you would like to receive it again, please reply to this email. If you are not in a position to evaluate a judge but another attorney in your office is, please ask that attorney to contact me. Please do not forward your evaluation email because the link is unique for each attorney. (This is intended to prevent multiple responses from the same attorney.)

The Judicial Performance Program is an important part of our ongoing effort to improve the judicial system. If an insufficient number of evaluations for a particular judge are received, then that judge will not be evaluated.

Thank you for your assistance. We appreciate your participation if you did complete the evaluation.

Michael Oki The Judiciary State of Hawai'i **APPENDIX E**

FAMILY COURT QUESTIONNAIRE

Judicial Family Court Evaluation – April 2018 Sample – Basic Evaluation Questions

Please answer all multiple choice questions. There will be a place for general comments at the end of the evaluation.

*1. Did you have any cases or serve in any other capacity with this judge during the period from April 1, 2016 to March 31, 2018? (If you answer No, please skip questions 2 and 3, and proceed by clicking on Continue).

Yes No

2. How many times have you appeared before this judge during the referenced period?

1-2 3-5 6-10 More than 10

3. For what types of matters have you appeared before this judge during the referenced period? (Please select all that apply.)

Jury trial(s)

Nonjury trial(s)

Contested motion(s) with significant legal issues

Settlement or pretrial plea agreement conference(s)

Evidentiary hearing(s)

Sentencing(s)

Other substantive matter(s) (describe)

Judicial Family Court Evaluation – April 2018 Sample – Legal Ability

This section deals with legal competence, learning, and understanding. It also deals with the judicial application of knowledge in the conduct of court proceedings.

1. Knowledge	of relevant	substantive law
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Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
2. Knowle	dge of rules	of procedure					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
3. Knowle	dge of rules	of evidence					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
4. Ability (to identify a	nd analyze relevar	nt issues				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
5. Judgment in application of relevant laws and rules							
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
6. Giving 1	easons for r	ulings when neede	ed				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
7. Clarity of	of explanation	on of rulings					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
8. Adequacy of findings of fact							
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
9. Clarity of	9. Clarity of judge's decision(s) (oral/written)						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		

10.	Completeness	of judge's of	decision(s)	(oral/written)

Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
11. Judge's	s charge to t	he jury/juries.			
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable

Judicial Family Court Evaluation – April 2018 Sample – Judicial Management Skills

This section deals with judicial ability and skill in the organization, management, and handling of court proceedings.

1. Moving	1. Moving the proceeding(s) in an appropriately expeditious manner						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
2. Maintain	ning proper	control over the pr	roceeding(s)				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
3. Doing th	ne necessary	homework on the	e case(s)				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
4. Rendering rulings and decisions without unnecessary delay							
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
5. Allowing adequate time for presentation of the case(s) or motion(s) in light of existing time constraints							
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
6. Resourc	efulness and	l common sense ir	resolving problems aris	sing from the	e proceeding(s)		
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
7. Skills in	effecting co	ompromise					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
8. Industrie	ousness						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		

Judicial Family Court Evaluation – April 2018 Sample – Comportment

This section deals with various aspects of judicial personality and behavior in the court proceedings, such as temperament, attitude, and manner.

1. Attentiveness

Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable			
2. Courtes	y to particip	ants						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable			
3. Compas	sion							
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable			
4. Patience								
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable			
5. Absence	5. Absence of arrogance							
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable			
6. Absence	e of bias and	prejudice based or	n race, sex, ethnicity, rel	igion, social	class, or other factor			
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable			
7. Evenhar	nded treatme	ent of litigants						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable			
8. Evenhar	nded treatme	ent of attorneys						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable			

Judicial Family Court Evaluation – April 2018 Sample – Settlement and/or plea agreement ability

This section assumes you have participated in one or more settlement/plea agreement conferences with this judge. This section deals with the settlement/plea agreement process including settlement conferences pursuant to rule 12.1, circuit court rules, or rule 16(1), family court rules, and pretrial conferences involving rule 11, rules of penal procedure.

1. Knowing the case(s) and/or the law well enough to address key issues

Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
2. Reasona	bleness of c	pinions on how k	ey issues might be resolv	ved at trial			
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
3. Ability tagreement		he settlement proc	cess by creating consensu	us or to facil	itate the plea		
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
4. Impartiality as to how/in whose favor agreement was reached							
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
5. Absence	e of coercior	n or threat					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
6. Effectiv	eness in nar	rowing the issues	in dispute				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
7. Appropr	riateness of j	judge's settlement	t/plea initiatives				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
8. Facilitat	ion in devel	opment of options	s for settlement/plea				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		

Judicial Family Court Evaluation – April 2018 Sample – Comment Page

We understand that anonymity is important. However, the more specific the input, the more useful it will be for the judge. Constructive comments that explain why a judge is viewed positively or negatively will assist the judge more than broad statements that a judge is good or not good. Please be advised that your comments will be forwarded to the Chief Justice. If your comments relate to a case that is on appeal, you should exercise caution in your remarks. Please remember not to identify yourself.

1. Legal ability

2. Judicial management skills

3. Comportment

4. Settlement/plea agreement ability

5. Overall/General

Judicial Family Court Evaluation – April 2018 Sample – Evaluation Complete

1. Thank you for completing the evaluation for Judge _____.

I would like to fill out an evaluation for another judge.

I have completed evaluations for all judges.

Judicial Family Court Evaluation – April 2018 Sample – Background Characteristics

This information will be used for statistical purposes only.

1. How long have you practiced law? (years)

0 to 3 4 to 7 8 to 11 12 to 15 16 to 19 20 to 23 24 to 27 28 or more Decline to answer

2. Which of the following describes your practice of law?

Solo (including office sharing) Law firm with 2-15 attorneys Law firm with more than 15 attorneys

Corporate or house counsel

Pro se (Representing self)

Government

Decline to answer

Other (please specify)

Judicial Family Court Evaluation – April 2018 Sample – Submit Evaluations

Please confirm that you have completed evaluations for judges you have appeared before and you are ready to submit your responses.

Thank you for taking the time to provide feedback. Your opinion is very important.

If you have any questions about this evaluation, please call the Planning and Program Evaluation Division at (808)539-4870. Mahalo!

1. Please let us know what you think of the online evaluation process. Are you comfortable with the confidentiality and anonymity of this process? Why or why not?

APPENDIX F

DISTRICT COURT QUESTIONNAIRE

Judicial District Court Evaluation – January 2018 Sample – Basic Evaluation Questions

Please answer all multiple choice questions. There will be a place for general comments at the end of the evaluation.

*1. Did you have any cases or serve in any other capacity with this judge during the period from January 1, 2016 to December 31, 2017? (If you answer No, please skip questions 2 and 3, and proceed by clicking on Continue).

Yes No

2. How many times have you appeared before this judge during the referenced period?

1-2 3-5 6-10 More than 10

3. For what types of matters have you appeared before this judge during the referenced period? (Please select all that apply.)

Nonjury trial(s)

Contested motion(s) with significant legal issues

Settlement or pretrial plea agreement conference(s)

Evidentiary hearing(s)

Sentencing(s)

Other substantive matter(s) (describe)

Judicial District Court Evaluation – January 2018 Sample – Legal Ability

This section deals with legal competence, learning, and understanding. It also deals with the judicial application of knowledge in the conduct of court proceedings.

1. Knowledge of relevant substantive law

Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
2. Knowle	dge of rules	of procedure					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
3. Knowle	dge of rules	of evidence					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
4. Ability t	to identify a	nd analyze relevar	nt issues				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
5. Judgment in application of relevant laws and rules							
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
6. Giving r	easons for r	ulings when neede	ed				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
7. Clarity o	of explanation	on of rulings					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
8. Adequa	cy of finding	gs of fact					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
9. Clarity of	9. Clarity of judge's decision(s) (oral/written)						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		

10. Completeness of judge's decision(s) (oral/written)	10.	Completeness	of judge?	s decision(s) ((oral/written)
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Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
		1	1		11

Judicial District Court Evaluation – January 2018 Sample – Judicial Management Skills

This section deals with judicial ability and skill in the organization, management, and handling of court proceedings.

1. Moving	the proceed	ing(s) in an approp	priately expeditious man	ner		
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
2. Maintain	ning proper o	control over the pr	roceeding(s)			
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
3. Doing th	ne necessary	homework on the	case(s)			
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
4. Rendering rulings and decisions without unnecessary delay						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
5. Allowing adequate time for presentation of the case(s) or motion(s) in light of existing time constraints						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
6. Resourc	efulness and	common sense in	resolving problems aris	sing from the	e proceeding(s)	
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
7. Skills in	effecting co	mpromise				
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	
8. Industric	ousness					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable	

Judicial District Court Evaluation – January 2018 Sample – Comportment

This section deals with various aspects of judicial personality and behavior in the court proceedings, such as temperament, attitude, and manner.

1. Attentiveness

Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
2. Courtes	y to particip	ants					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
3. Compas	sion						
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
4. Patience							
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
5. Absence of arrogance							
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
6. Absence	e of bias and	prejudice based of	n race, sex, ethnicity, rel	igion, social	class, or other factor		
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
7. Evenhar	nded treatme	ent of litigants					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		
8. Evenhar	nded treatme	ent of attorneys					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable		

Judicial District Court Evaluation – January 2018 Sample – Settlement and/or Plea Agreement ability

This section assumes you have participated in one or more settlement/plea agreement conferences with this judge. This section deals with the settlement/plea agreement process including settlement conferences pursuant to rule 12.1, district court rules, and pretrial conferences involving rule 11, rules of penal procedure.

1. Knowing the case(s) and/or the law well enough to address key issues

Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
2. Reasonableness of opinions on how key issues might be resolved at trial					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
3. Ability to enhance the settlement process by creating consensus or to facilitate the plea agreement process					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
4. Impartiality as to how/in whose favor agreement was reached					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
5. Absence of coercion or threat					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
6. Effectiveness in narrowing the issues in dispute					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
7. Appropriateness of judge's settlement/plea initiatives					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable
8. Facilitation in development of options for settlement/plea					
Excellent	Good	Adequate	Less than Adequate	Poor	Not Applicable

Judicial District Court Evaluation – January 2018 Sample – Comment Page

We understand that anonymity is important. However, the more specific the input, the more useful it will be for the judge. Constructive comments that explain why a judge is viewed positively or negatively will assist the judge more than broad statements that a judge is good or not good. Please be advised that your comments will be forwarded to the Chief Justice. If your comments relate to a case that is on appeal, you should exercise caution in your remarks. Please remember not to identify yourself.

1. Legal ability

2. Judicial management skills

3. Comportment

4. Settlement/plea agreement ability

5. Overall/General

Judicial District Court Evaluation – January 2018 Sample – Evaluation Complete

1. Thank you for completing the evaluation for Judge _____.

I would like to fill out an evaluation for another judge.

I have completed evaluations for all judges.

Judicial District Court Evaluation – January 2018 Sample – Background Characteristics

This information will be used for statistical purposes only.

1. How long have you practiced law? (years)

0 to 3 4 to 7 8 to 11 12 to 15 16 to 19 20 to 23 24 to 27 28 or more Decline to answer

2. Which of the following describes your practice of law?

Solo (including office sharing)

Law firm with 2-15 attorneys

Law firm with more than 15 attorneys

Corporate or house counsel

Pro se (Representing self)

Government

Decline to answer

Other (please specify)

Judicial District Court Evaluation – January 2018 Sample – Submit Evaluations

Please confirm that you have completed evaluations for judges you have appeared before and you are ready to submit your responses.

Thank you for taking the time to provide feedback. Your opinion is very important.

If you have any questions about this evaluation, please call the Planning and Program Evaluation Division at 539-4870. Mahalo!

1. Please let us know what you think of the online evaluation process. Are you comfortable with the confidentiality and anonymity of this process? Why or why not?