



SUPREME COURT CLERK'S OFFICE
 417 SOUTH KING STREET
 HONOLULU, HAWAII 96813-2912

FINANCIAL DISCLOSURE STATEMENT

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Supreme Court
SCFD-11-0000269
27-APR-2017
10:15 AM

Before completing this form please read the instructions for Financial Disclosure Statement, including the text of Supreme Court Rule 15. REMINDER: For all items requiring a monetary amount, the following financial range codes may be used.

- A - Less than \$1,000
- B - At least \$1,000 but less than \$10,000
- C - At least \$10,000 but less than \$25,000
- D - At least \$25,000 but less than \$50,000
- E - At least \$50,000 but less than \$100,000
- F - At least \$100,000 but less than \$150,000
- G - At least \$150,000 but less than \$250,000
- H - At least \$250,000 but less than \$500,000
- I - At least \$500,000 but less than \$750,000
- J - At least \$750,000 but less than \$1,000,000
- K - \$1,000,000 or more

TO BE FILED BY ALL FULL TIME AND PER DIEM JUDGES.

(Type or Print Clearly)

| | |
|--|---|
| NAME: <u>Recktenwald</u> <u>Mark</u> <u>E.</u> <small>(LAST) (FIRST) (MIDDLE)</small> | NAME OF SPOUSE OR DOMESTIC PARTNER: <u>Gailynn Williamson</u> |
| OFFICE ADDRESS: <u>417 S. King Street</u> <small>NUMBER, STREET</small> | No. of Dependent Children: <small>(Do not include names)</small> <u>0</u> |
| CITY OR TOWN: <u>Honolulu</u> ZIP CODE: <u>96813</u> | |

| | | |
|------------------------|---------------------|--------------|
| JUDICIAL POSITION HELD | DATE OF APPOINTMENT | OFFICE PHONE |
| Chief Justice | 09/14/2010 | 539-4701 |

CALENDAR YEAR COVERED BY THIS DISCLOSURE: 20 16

| | | |
|-------------------------|-----------------------|------------------------|
| ITEM 1 RSCH 15(d)(1) | JUDICIAL COMPENSATION | ANNUAL INCOME G |
|-------------------------|-----------------------|------------------------|

| | | |
|-------------------------|--|--|
| ITEM 2 RSCH 15(d)(1) | JUDGE'S OTHER INCOME <small>(if income for services rendered exceeds \$1,000)</small> | |
|-------------------------|--|--|

| EMPLOYER/LAW FIRM | BUSINESS ADDRESS | ANNUAL INCOME |
|-------------------|------------------|---------------|
| | | |

| | | |
|-------------------------|---|--|
| ITEM 3 RSCH 15(d)(1) | INCOME OF SPOUSE OR DOMESTIC PARTNER AND DEPENDENT CHILDREN <small>(if income for services rendered exceeds \$1,000)</small> | |
|-------------------------|---|--|

| EMPLOYER | ANNUAL INCOME |
|-----------------------|---------------|
| Please see attachment | |

| | |
|-------------------------|---|
| ITEM 4 RSCH 15(d)(1) | ANY OTHER INCOME, FOR SERVICES RENDERED, IN EXCESS OF \$1,000 - INCOME DISCLOSED IN ITEMS 1 - 3 NEED NOT BE REPEATED HERE |
|-------------------------|---|

| SOURCE | NATURE OF SERVICES RENDERED | AMOUNT |
|--------|-----------------------------|--------|
| | | |

Check here if entry is None Check here if you have attached additional sheets

| | |
|-------------------------|--|
| ITEM 5 RSCH 15(d)(2) | EACH OWNERSHIP OR BENEFICIAL INTEREST, HELD IN ANY BUSINESS CARRYING ON BUSINESS IN THE STATE, HAVING A VALUE OF \$5,000 OR MORE OR EQUAL TO 10% OF THE OWNERSHIP OF THE BUSINESS. |
|-------------------------|--|

| NAME OF BUSINESS | NATURE OF BUSINESS | NATURE OF INTEREST | ENTER AMOUNT OR NO. OF SHARES |
|-----------------------|--------------------|--------------------|-------------------------------|
| Please see attachment | | | |

Check here if entry is None Check here if you have attached additional sheets

| | |
|-------------------------|--|
| ITEM 6 RSCH 15(d)(2) | OWNERSHIP OR BENEFICIAL INTEREST UNDER ITEM 5 TRANSFERRED DURING THIS DISCLOSURE PERIOD. |
|-------------------------|--|

| NAME OF BUSINESS | DATE OF TRANSFER | VALUE OF TRANSFER |
|-----------------------|------------------|-------------------|
| Please see attachment | | |

Check here if entry is None Check here if you have attached additional sheets

| | |
|-------------------------|--|
| ITEM 7 RSCH 15(d)(3) | LIST EACH OFFICERSHIP, DIRECTORSHIP, TRUSTEESHIP OR OTHER FIDUCIARY RELATIONSHIP HELD IN ANY BUSINESS. |
|-------------------------|--|

| NAME OF BUSINESS | TITLE AND TERM OF OFFICE | COMPENSATION (enter amount or NONE) |
|------------------------------|--------------------------|-------------------------------------|
| Conference of Chief Justices | Director, 2014-16 | None |

Check here if entry is None Check here if you have attached additional sheets

ITEM 8
RSCH 15(d)(4) **LIST CREDITORS, OTHER THAN CREDIT CARD ACCOUNTS, TO WHOM MORE THAN \$3,000 WAS OWED DURING THE DISCLOSURE PERIOD. LIST CREDIT CARD DEBT THAT EXCEEDED \$10,000 FOR SIX MONTHS OR MORE.**

| NAME AND ADDRESS OF CREDITOR | ORIGINAL AMOUNT OWED | AMOUNT OWED AT END OF YEAR |
|------------------------------|----------------------|----------------------------|
| Please see attachment | | |

Check here if entry is None Check here if you have attached additional sheets

ITEM 9
RSCH 15(d)(5) **REAL PROPERTY IN THE STATE IN WHICH IS HELD AN INTEREST WITH A FAIR MARKET VALUE OF \$10,000 OR MORE.**

| POSTAL ZIP CODE OF LOCATION | VALUE |
|-----------------------------|-------|
| Please see attachment | |

Check here if entry is None Check here if you have attached additional sheets

ITEM 10
RSCH 15(d)(5) **REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000, ACQUIRED DURING THE DISCLOSURE PERIOD.**

| POSTAL ZIP CODE OF LOCATION | NATURE OF INTEREST | NAME AND ADDRESS OF PERSON RECEIVING CONSIDERATION | CONSIDERATION GIVEN |
|-----------------------------|--------------------|--|---------------------|
| | | | |

Check here if entry is None Check here if you have attached additional sheets

ITEM 11
RSCH 15(d)(5) **REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000, TRANSFERRED DURING THE DISCLOSURE PERIOD.**

| POSTAL ZIP CODE OF LOCATION | NAME AND ADDRESS OF PERSON FURNISHING CONSIDERATION | CONSIDERATION RECEIVED |
|-----------------------------|---|------------------------|
| | | |

Check here if entry is None Check here if you have attached additional sheets

ITEM 12
RSCH 15(d)(6) CREDITOR INTEREST IN INSOLVENT BUSINESS HAVING A VALUE OF \$5,000 OR MORE.

| NAME OF BUSINESS | NATURE OF BUSINESS | NATURE OF INTEREST | VALUE |
|------------------|--------------------|--------------------|-------|
| | | | |

Check here if entry is None Check here if you have attached additional sheets

ITEM 13
RSCH 15(d)(7);
Rule 3.13
Revised Code
of Judicial
Conduct GIFT(S) THAT MUST BE REPORTED UNDER RULE 3.13(c) OF THE HAWAII REVISED CODE OF JUDICIAL CONDUCT.

| SOURCE | DESCRIPTION OF GIFT | ESTIMATED VALUE |
|--------|---------------------|-----------------|
| | | |

Check here if entry is None Check here if you have attached additional sheets

ITEM 14
RSCH 15(d)(8)
& 22(f) FULL-TIME JUDGES' APPROVED JUDICIAL EDUCATION

I attended 11.50 hours of Approved Judicial Education during the reporting period.

REMARKS:

See attached sheets.

CERTIFICATION: I hereby certify that the above is a true, correct, and complete statement.

SIGNATURE:

Mark E. Redmond

DATE:

April 27, 2017

NOTE: This filing is not valid without a signature.

Financial Disclosure Statement
Mark E. Recktenwald
April 27, 2017

**Electronically Filed
Supreme Court
SCFD-11-0000269
27-APR-2017
10:16 AM**

Attachment

Item 3

The income of my wife was as follows:

Teacher, State of Hawaii, University of Hawaii; E

Item 5

I held interests having a value of \$5,000 or more in the following businesses during 2015 (the reporting period).

1. Diana Shipping Inc., Pendelis 16, 175 64 Palaio Falliro, Athens, Greece; global provider of shipping transportation services; shares of stock; B.
2. Exxon Mobil Corp., 5959 Las Colinas Blvd., Irving, TX. 75039; oil and gas company; shares of stock; B.
3. General Electric Company, Fairfield, CT. 06826; diversified company with interests including finance, healthcare, media and infrastructure; shares of stock; D.
4. Intel Corp., 2200 Mission College Blvd., P.O. Box 58119, Santa Clara, CA 95052; electronics manufacturing; shares of stock; D.
5. Johnson & Johnson Corp., One Johnson & Johnson Plaza, New Brunswick, New Jersey; manufacturer of health care products; shares of stock; D.
6. Proctor & Gamble, 1 Proctor & Gamble Plaza, Cincinnati, OH. 45202; manufacturing; shares of stock; C.
7. American Funds Growth Fund of America, One Market-Steuart Tower Suite 1800, San Francisco, CA. 94105; mutual fund investing in common stocks; shares of fund; C.
8. JP Morgan Mid Cap Value Fund, JP Morgan Distribution Services, 1111 Polaris Parkway, Columbus, OH. 43240; mutual fund which invests in equity securities; C.
9. Templeton Foreign Fund, 500 East Broward Blvd., Suite 2100, Fort Lauderdale, FL. 33394; mutual fund investing primarily in equity securities of companies located outside the United States; shares of fund; C.
10. Goldman Sachs Rising Dividend Growth Fund, 200 West Street, New York, NY 10282; mutual fund which invests in stocks, master limited partnerships and real estate investment trusts; shares of fund; C.

11. Columbia Select Large-Cap Value Fund, formerly known as Seligman Large-Cap Value Fund, Columbia Management Investment Services, Corp., P.O. Box 8081, Boston, MA 02266-8081; mutual fund which invests in securities; shares of fund; C.
12. Western Asset Core Plus Bond Fund; c/o Legg Mason/Western, 100 International Drive, Baltimore, MD 21202; mutual fund which invests in bonds; shares of fund; B.
13. Janus Venture Fund A, 151 Detroit Street, Denver, CO 80206; mutual fund which invests in securities; shares of fund; C.
14. Cabela's Inc., One Cabela Drive, Sidney, NE 69160; outdoor products retailer; shares of stock; C.
15. Walt Disney Company, 500 South Buena Vista Street, Burbank, CA 91521; entertainment company; shares of stock; C.
16. Kansas City Southern, 427 West 12th, Kansas City, MO 64105; transportation holding company; shares of stock; C.
17. SPDR S&P 500 ETF Trust; PDR Services LLC, c/o NYSE Euronext, 11 Wall Street, New York, NY, 10005; exchange traded fund that holds shares of S&P 500 companies; shares of fund; D.
18. AT&T, 208 S. Akard Street, Dallas, TX 75202; telecommunications holding company; shares of stock; C.

Retirement Plans

I am a participant in the Thrift Savings Plan (TSP), which is a retirement savings and investment plan for current and former federal employees. During the reporting period, I held, through the TSP, investments with a total value of more than \$50,000 but less than \$100,000. These investments were invested in the following TSP funds: Government Securities Investment, Fixed Income Index Investment, Common Stock Index Investment, Small Capitalization Stock Index Investment, and International Stock Index Investment. Information regarding the TSP is available at www.tsp.gov.

Item 6

On the dates listed below, I sold interests in the following entities identified in item 5:

1. I sold shares in Walt Disney Company on 7/8/16.
2. I sold shares in Cabela's Inc. on 7/18/16.

Item 8

Creditors

My wife and I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. Bank of Hawaii, P.O. Box 3650, Honolulu, HI 96811; Original amount owed: J; amount owed at end of year: J.
2. BMW Financial Services, P.O. Box 3608, Dublin, OH 43016-0306; original amount owed: C; amount owed at end of year: C.

I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. U.S. Dept. of Education, c/o Fedloan Servicing, P.O. Box 69184, Harrisburg, PA 17106. Original amount owed: D; amount owed at end of year: E.

Item 9—Real property held

My wife and I jointly owned real property located in zip code 96734; K.
My wife was a joint owner of real property located in zip code 96817; J.