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HONOLULU, HAWAI'I 96813-2912

FINANCIAL DISCLOSURE STATEMENT

THIS SPACE FOR OFFICE USE ONLY

**Electronically Filed  
Supreme Court  
SCFD-11-0000223  
09-MAR-2017  
01:00 PM**

Before completing this form please read the instructions for Financial Disclosure Statement, including the text of Supreme Court Rule 15. REMINDER: For all items requiring a monetary amount, the following financial range codes may be used.

- A - Less than \$1,000
- B - At least \$1,000 but less than \$10,000
- C - At least \$10,000 but less than \$25,000
- D - At least \$25,000 but less than \$50,000
- E - At least \$50,000 but less than \$100,000
- F - At least \$100,000 but less than \$150,000
- G - At least \$150,000 but less than \$250,000
- H - At least \$250,000 but less than \$500,000
- I - At least \$500,000 but less than \$750,000
- J - At least \$750,000 but less than \$1,000,000
- K - \$1,000,000 or more

TO BE FILED BY ALL FULL TIME AND PER DIEM JUDGES.

(Type or Print Clearly)

<p>NAME: <u>Kibe</u> <u>Gerald</u> <u>Hideo</u>  <small>(LAST) (FIRST) (MIDDLE)</small></p> <p>OFFICE ADDRESS: <u>1111 Alakea Street</u>  <small>NUMBER, STREET</small></p> <p>CITY OR TOWN: <u>Honolulu</u> ZIP CODE: <u>96813</u></p>	<p>NAME OF SPOUSE OR DOMESTIC PARTNER: <b>None</b></p> <p>No. of Dependent Children: (Do not include names) <b>0</b></p>
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JUDICIAL POSITION HELD	DATE OF APPOINTMENT	OFFICE PHONE
District Judge	10/24/1994	538-5004

CALENDAR YEAR COVERED BY THIS DISCLOSURE: 20 16

ITEM 1 RSCH 15(d)(1)	JUDICIAL COMPENSATION	ANNUAL INCOME <b>G</b>
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ITEM 2 RSCH 15(d)(1)	JUDGE'S OTHER INCOME (if income for services rendered exceeds \$1,000)	
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EMPLOYER/LAW FIRM	BUSINESS ADDRESS	ANNUAL INCOME
None		

ITEM 3 RSCH 15(d)(1)	INCOME OF SPOUSE OR DOMESTIC PARTNER AND DEPENDENT CHILDREN (if income for services rendered exceeds \$1,000)	
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EMPLOYER	ANNUAL INCOME
N/A	

ITEM 4 RSCH 15(d)(1)	ANY OTHER INCOME, FOR SERVICES RENDERED, IN EXCESS OF \$1,000 - INCOME DISCLOSED IN ITEMS 1 - 3 NEED NOT BE REPEATED HERE
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SOURCE	NATURE OF SERVICES RENDERED	AMOUNT

Check here if entry is None       Check here if you have attached additional sheets

ITEM 5 RSCH 15(d)(2)	EACH OWNERSHIP OR BENEFICIAL INTEREST, HELD IN ANY BUSINESS CARRYING ON BUSINESS IN THE STATE, HAVING A VALUE OF \$5,000 OR MORE OR EQUAL TO 10% OF THE OWNERSHIP OF THE BUSINESS.
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NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	ENTER AMOUNT OR NO. OF SHARES
Gerald H. Kibe	Residential Property Rental	Sole Owner	D (Rental Income)
Also, please see attached EXHIBIT "A" for stock & mutual fund holdings			

Check here if entry is None       Check here if you have attached additional sheets

ITEM 6 RSCH 15(d)(2)	OWNERSHIP OR BENEFICIAL INTEREST UNDER ITEM 5 TRANSFERRED DURING THIS DISCLOSURE PERIOD.
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NAME OF BUSINESS	DATE OF TRANSFER	VALUE OF TRANSFER
Please see attached EXHIBIT "A"		

Check here if entry is None       Check here if you have attached additional sheets

ITEM 7 RSCH 15(d)(3)	LIST EACH OFFICERSHIP, DIRECTORSHIP, TRUSTEESHIP OR OTHER FIDUCIARY RELATIONSHIP HELD IN ANY BUSINESS.
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NAME OF BUSINESS	TITLE AND TERM OF OFFICE	COMPENSATION (enter amount or NONE)
KSK Hawaii, Inc. (a Hawaii non-profit corporation)	Director (2013 thru present)	NONE

Check here if entry is None       Check here if you have attached additional sheets

ITEM 8 RSCH 15(d)(4)	LIST CREDITORS, OTHER THAN CREDIT CARD ACCOUNTS, TO WHOM MORE THAN \$3,000 WAS OWED DURING THE DISCLOSURE PERIOD. LIST CREDIT CARD DEBT THAT EXCEEDED \$10,000 FOR SIX MONTHS OR MORE.	
NAME AND ADDRESS OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OWED AT END OF YEAR
WELLS FARGO HOME MORTGAGE, P.O. Box 10335,  Des Moines, IA 50306	G	F
HAWAII STATE FEDERAL CREDIT UNION, P.O. Box  3072, Honolulu, HI 96802-3072	B	0 (zero)

Check here if entry is None

Check here if you have attached additional sheets

ITEM 9 RSCH 15(d)(5)	REAL PROPERTY IN THE STATE IN WHICH IS HELD AN INTEREST WITH A FAIR MARKET VALUE OF \$10,000 OR MORE.	
POSTAL ZIP CODE OF LOCATION	VALUE	
96734	K	
96826	H	
96782	I	

Check here if entry is None

Check here if you have attached additional sheets

ITEM 10 RSCH 15(d)(5)	REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000, ACQUIRED DURING THE DISCLOSURE PERIOD.		
POSTAL ZIP CODE OF LOCATION	NATURE OF INTEREST	NAME AND ADDRESS OF PERSON RECEIVING CONSIDERATION	CONSIDERATION GIVEN

Check here if entry is None

Check here if you have attached additional sheets

ITEM 11 RSCH 15(d)(5)	REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000, TRANSFERRED DURING THE DISCLOSURE PERIOD.	
POSTAL ZIP CODE OF LOCATION	NAME AND ADDRESS OF PERSON FURNISHING CONSIDERATION	CONSIDERATION RECEIVED

Check here if entry is None

Check here if you have attached additional sheets

ITEM 12  
RSCH 15(d)(6) CREDITOR INTEREST IN INSOLVENT BUSINESS HAVING A VALUE OF \$5,000 OR MORE.

NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
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Check here if entry is None  Check here if you have attached additional sheets

ITEM 13  
RSCH 15(d)(7);  
Rule 3.13  
Revised Code  
of Judicial  
Conduct GIFT(S) THAT MUST BE REPORTED UNDER RULE 3.13(c) OF THE HAWAII REVISIED CODE OF JUDICIAL CONDUCT.

SOURCE	DESCRIPTION OF GIFT	ESTIMATED VALUE
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Check here if entry is None  Check here if you have attached additional sheets

ITEM 14  
RSCH 15(d)(8)  
& 22(h) FULL-TIME JUDGES' APPROVED JUDICIAL EDUCATION

I attended 15 hours of Approved Judicial Education during the reporting period.

REMARKS:

See attached sheets.

CERTIFICATION: I hereby certify that the above is a true, correct, and complete statement.

SIGNATURE: /s/Gerald Hideo Kibe

DATE: 03/09/2017

NOTE: This filing is not valid without a signature.

SUPPLEMENT TO  
**ITEMS 5 & 6**  
OF  
2016 FINANCIAL DISCLOSURE STATEMENT

**Electronically Filed  
Supreme Court  
SCFD-11-0000223  
09-MAR-2017  
01:00 PM**

- A. The following mutual funds were held during 2016 (share amounts are as of December 31, 2016):
1. **BLACKROCK US LARGE CAP INDEX**—1,107.364 shares.
  2. **EUROPACIFIC GROWTH FUND R6**—998.740 shares.
  3. **NEW ECONOMY FUND**—918.092 shares.
  4. **VANGUARD WELLINGTON FUND ADM**—540.454 shares.
  5. **VICTORY INSTITUTIONAL DIVERSIFIED STOCK FUND**—2,415.530 shares.
  6. **WASHINGTON MUTUAL INVESTORS FUND**—812.560 shares.
  7. **WILLIAM BLAIR SMALL-MID CAP GROWTH FUND**—5,081.541 shares.
- B. Additional mutual funds, as well as stocks, held during 2016 are listed in the attached Pages 1-41, which are redacted account statements current as of December 31, 2016. Mutual funds and stocks listed multiple times are held in separate accounts.

**EXHIBIT "A"**

**Stocks and ETFs**

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DESCRIPTION	QUANTITY
ENERGY SELECT ET	
SECTORSPDR	
XLE	
Acquired 01/10/11 L	10
Acquired 09/26/11 L	5
<b>Total</b>	<b>15</b>

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Stocks and ETFs continued

DESCRIPTION	QUANTITY
INDUSTRIAL SELECT ET SECTORS PDR XLI	
Acquired 01112/10 L nc	25
Acquired 09128/11 L	9
Acquired 02107/12 L	5
Acquired 01115/13 L	9
Acquired 11104/14 L	8
Total	56
ISHARES CORE S&P MIDCAP ETF IJH	
Acquired 06111/09 L nc	8
Acquired 06116/09 L nc	20
Acquired 06117/09 L nc	12
Acquired 03126/10 L nc	3
Acquired 08109/10 L nc	18
Acquired 12106/10 L nc	3
Acquired 01112/11 L	6
Acquired 03109/15 L	3
Total	73
ISHARES CORE S&P ET SMALLCAP IJR	
Acquired 08112110 L nc	51
Acquired 12106/10 L nc	6
Acquired 0112111 L	8
Acquired 03109/15 L	3
Total	68
ISHARES CORE U.S. ET AGGREGATE BOND AGG	
Acquired 04128/16 S	17
Acquired 08.116/16 S	41
Acquired 09123/16 S	16
Acquired 11128/16 S	5
Total	79

Stocks and ETFs continued

DESCRIPTION	QUANTITY
ISHARES 180XX \$ ET HIGH YIELD CORP BOND HYG Acquired 03124/16 S	29
POWERS HARES DB ET COMMODITY INDEX TRACKING FUND DBC Acquired 11121/14 L nc Acquired 12122114 L nc Acquired 01107/16 S nc Acquired 01112116 S nc	21 15 87 1
Total	124
POWERSHARES EM MAR ET SOVDE PT PCY Acquired 08116/16 S	90
SELECT SECTOR SPDR ET TR REAL ESTATE SELECT SECTOR SPDR FD XLRE Acquired 09122116 S	25
SELECT SECTOR SPDR ET TR FINANC IAL XLF Acquired 12116/09 L nc Acquired 04112/10 L nc Acquired 04119/10 L nc	20 15 21

3



Acquired 12116/10 L.nc	29
Acquired 09126/11 L	26
Acquired 12110/14 L	69
Acquired 11128/16 S	25



Stocks and ETFs continued

DESCRIPTION	QUANTITY
Total	205
SELECT SECTOR SPDR TR	
CONSUMER STAPLES	
XLP	
Acquired 07101/11 L	6
Acquired 09128/11 L	8
Acquired 09123/16 S	14
Total	28
SELECT SECTOR SPDR FD	
MATERIALS	
XLB	
Acquired 08111/10 L nc	13
Acquired 02107/12 L	5
Acquired 0115/13 L	9
Total	27
SELECT SECTOR SPDR FD	
HEALTH CARE	
XLV	
Acquired 0315/11 L	7
Acquired 06108/11 L	17
Acquired 09128/11 L	24
Acquired 05124/16 S	7
Acquired 11108/16 S	7
Total	62
SELECT SECTOR SPDR FD	
CONSUMER DISCRETIONARY	
XLY	
Acquired 09118/09 L nc	14
Acquired 05105/10 L nc	10
Acquired 06104/10 L nc	10
Acquired 0519/11 L	9
Total	43

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Stocks and ETFs continued

DESCRIPTION	QUANTITY
SPDR S&P RETAIL ET	
XRT	
Acquired 03109/12 L	7
Acquired 05123/12 L	10
Total	17
SPDR S&P 500 TRUST ET	
SPY	
Acquired 11121/14 L	6
Acquired 03109/15 L	2
Total	8
VANGUARD ET	
TELECOMMUNICATIONS SERVICES	
VOX	
Acquired 11108/16 S	7
VANGUARD FTSE ET	
DEVELOPED MARKETS ETF	
VEA	
Acquired 03109/15 L	17
Acquired 01107/16 S	18
Acquired 02111/16 S	131
Acquired 05124/16 S	84
Total	250
VANGUARD INFORMATION ET	
TECHNOLOGY	
VGT	
Acquired 09127/11 L	31
Acquired 03122/12 L	5
Acquired 05123/12 L	14
Total	50
VANGUARD INTL EQUITY ET	
INDEX FDS FTSE EMERGING MKTS ETF	
VWO	
Acquired 11121/14 L	30
Acquired 12116/14 L	9
Acquired 12117/14 L	9

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Stocks and ETFs continued

DESCRIPTION	QUANTITY
Acquired 12118/14 L	9
Acquired 04129/15 L	38
Acquired 06118/15 L	20
Acquired 02111/16 S	81
Acquired 12106/16 S	59
<b>Total</b>	<b>255</b>
VANGUARD REIT ET	
VNQ	
Acquired 05107/10 L nc	1
Acquired 07129/15 L	30
Acquired 01107/16 S	21
Acquired 11128/16 S	5
<b>Total</b>	<b>57</b>
VANGUARD TOTAL BOND ET	
MARKET	
BND	
Acquired 11107/11 L	7
Acquired 04103/13 L	4
Acquired 07128/15 L	5
Acquired 02111/16 S	4
<b>Total</b>	<b>20</b>

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Stocks and ETFs

DESCRIPTION	QUANTITY
ADIENT PLC	
ADNT	
Acquired 11/01/16 S	6
Acquired 11/01/16 S	38

**Stocks and ETFs continued**

DESCRIPTION	QUANTITY
Acquired 11/10/16 S	4
<b>Total</b>	<b>48</b>
AETNA INC(NEW)	
AET	
Acquired 09/26/16 S	15
Acquired 10/11/16 S	6
Acquired 10/25/16 S	6
<b>Total</b>	<b>27</b>
ALPHABET INC VOTING	
CAP STK CIA	
GOOGL	
Acquired 02/08/08 L nc	
Acquired 10/16/08 L nc	
Acquired 08/19/10 L nc	
Acquired 04/15/11 L	
Acquired 03/04/15 L	
<b>Total</b>	<b>5</b>
ALPHABET INC NON VOTING	
CAP STK CLC	
GOOG	
Acquired 02/08/08 L nc	1
Acquired 10/16/08 L nc	1
Acquired 08/19/10 L nc	1
Acquired 04/15/11 L	1
Acquired 03/04/15 L	1
<b>Total</b>	<b>5</b>
AMAZON COM INC	
AMZN	
Acquired 05/16/14 L	1
Acquired 07/02/14 L	1
Acquired 08/20/14 L	4
Acquired 10/28/14 L	2
Acquired 03/04/15 L	1
<b>Total</b>	<b>9</b>
AMGEN INC	
AMGN	
Acquired 02/26/15 L	2

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Stocks and ETFs continued

DESCRIPTION	QUANTITY
Acquired 03104/15 L	3
Acquired 03120/15 L	1
Acquired 06129/16 S	2
Acquired 12129/16 S	1
Total	9
ANGIES LIST INC	
ANGI	
Acquired 05116/12 L	48
Acquired 04118/13 L	45
Acquired 10107113 L	30
Acquired 1022J14 L	85
Acquired 03104/15 L	51
Total	259
APACHE CORP COMMON	
APA	
Acquired 01104/16 S	22
Acquired 01112116 S	30
Acquired 01114/16 S	5
Acquired 02108/16 S	10
Acquired 04119/16 S	14
Acquired 08105/16 S	2
Acquired 08117/16 S	19
Total	102
APPLEINC	
MPL	
Acquired 01129/15 L	2
Acquired 02106/15 L	2
Acquired 03104/15 L	2
Acquired 03120/15 L	3
Acquired 04/13/15 L	2
Acquired 04123/15 L	1
Acquired 04120/16 S	2
Acquired 09127/16 S	2
Acquired 11/15/16 S	2
Total	18
APPLIED MATERIALS INC	
AMAT	

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Stocks and ETFs continued

DESCRIPTION	QUANTITY
AT&TINC	
T	
Acquired 12131/15 S	25
Acquired 01f22/16 S	14
Total	39
BAKER HUGHES INC	
BHI	
Acquired 10131/16 S	5
BANCO LATINOAMERICANO 0	
COMERCIO EXTERIOR SA	
BLX	
Acquired 02127/14 L	1
Acquired 03103/14 L	3
Acquired 03112114 L	3
Acquired 03124/14 L	3
Acquired 04104/14 L	4
Acquired 04111/14 L	3
Acquired 04125/14 L	4
Acquired 07131/14 L	3
Acquired 07126/16 S	3
Total	27
BANK OF AMERICA CORP	
BAC	
Acquired 12103/14 L	16
Acquired 12130/14 L	9
Acquired 06105/15 L	18
Total	43
BERKSHIRE HATHAWAY INC	
SERIESB NEW	
BRKIB	
Acquired 12122/15 L	15
Acquired 01122116 S	3
Acquired 04113/16 S	5
Total	23
CABOT OIL & GAS	
COG	
Acquired 05J19/15 L	4

**Stocks and ETFs continued**

DESCRIPTION	QUANTITY
Acquired 06109/15 L	30
Acquired 10128/15 L	10
Acquired 02111/16 S	20
Acquired 07101/16 S	35
Acquired 08104/16 S	35
Acquired 11116/16 S	50
<b>Total</b>	<b>184</b>
CARDINAL HEALTH INC	
CAH	
Acquired 08123/16 S	4
CHEVRON CORPORATION	
CVX	
Acquired 02102116 S	3
Acquired 03102116 S	4
Acquired 04108/16 S	3
Acquired 06128/16 S	2
<b>Total</b>	<b>12</b>
CHUBBLTD	
CB	
Acquired 03116/12 L	7
Acquired 07108/14 L	1
<b>Total</b>	<b>8</b>
CISCO SYSTEMS INC	
CSCO	
Acquired 04113/09 L nc	21
Acquired 09121/10 L nc	9
Acquired 11105/10 L nc	15
Acquired 08106/12 L	27
Acquired 02/08/13 L	1
Acquired 07/08/14 L	1
Acquired 02102116 S	2
Acquired 03104/16 S	4
<b>Total</b>	<b>80</b>
CITIGROUP INC NEW	
C	
Acquired 10115/13 L	4
Acquired 01115/14 L	5

Stocks and ETFs continued

DESCRIPTION	QUANTITY
Acquired 03106/14 L	12
Acquired 03118/14 L	3
Acquired 06125/14 L	2
Acquired 0714/14 L	3
Acquired 05113/15 L	3
Acquired 01119/16 S	6
<b>Total</b>	<b>38</b>
CONOCOPHILLIPS	
COP	
Acquired 09108/16 S	8
Acquired 10104/16 S	3
Acquired 10128/16 S	3
Acquired 12129/16 S	3
<b>Total</b>	<b>17</b>
CORNING INC	
GLW	
Acquired 06/30/15 L	7
Acquired 07131/15 L	17
Acquired 08128/15 L	10
<b>Total</b>	<b>34</b>
COSTCO WHSL CORP NEW	
COM	
COST	
Acquired 12/02/05 L nc	1
Acquired 12/06/05 L nc	11
<b>Total</b>	<b>12</b>
DELPHI AUTOMOTIVE PLC	
DLPH	
Acquired 08/22/16 S	50
DISNEY WALT COMPANY	
DIS	
Acquired 06109/15 L	2
Acquired 11117/15 L	1
Acquired 03115/16 S	3
<b>Total</b>	<b>6</b>

Stocks and ETFs continued

DESCRIPTION	QUANTITY
DOW CHEMICAL COMPANY	
DOW	
Acquired 01106/12 L	2
Acquired 01130/12 L	15
Acquired 0416/12 L	10
Acquired 02108/13 L	1
<b>Total</b>	<b>28</b>
DU PONTEI, DE NEMOURS AND COMPANY	
DD	
Acquired 12102105 L nc	1
Acquired 11121/11 L	9
Acquired 10122113 L	1
<b>Total</b>	<b>11</b>
DUKE ENERGY CORP	
COM NEW	
DUK	
Acquired 10)06/15 L	11
Acquired 06)08/16 S	5
<b>Total</b>	<b>16</b>
EATON CORP PLC	
ETN	
Acquired 12115/15 L	10
Acquired 01107/16 S	10
Acquired 01)14/16 S	10
Acquired 06124/16 S	7
Acquired 06128/16 S	20
<b>Total</b>	<b>57</b>
ENCANACORP	

ECA

Acquired 09/08/14 L 45  
 Acquired 09/23/14 L 26  
 Acquired 10/02/14 L 15  
 Acquired 11/04/14 L 20  
 Acquired 03/04/15 L 12  
 Acquired 03/25/15 L 55  
 Acquired 09/11/15 L 135

Acquired 11/20/15 L 70

45  
 26  
 15  
 20  
 12  
 55  
 135

70

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Stocks and ETFs continued

DESCRIPTION	QUANTITY
Acquired 02102116 S	35
Acquired 0912216 S	175
Total	588
EXPRESS SCRIPTS HLDG CO	
ESRX	
Acquired 10/24/14 L	9
Acquired 03/04/15 L	2
Total	11
EXXON MOBIL CORP	
XOM	
Acquired 05115/15 L	3
Acquired 06125/15 L	5
Acquired 07/14/15 L	2
Acquired 09130/15 L	3
Total	13
FACEBOOK INC	
CLASS A	
FB	
Acquired 12103/15 L	1
Acquired 03/18/16 S	6
Acquired 04/19/16 S	4
Acquired 11/15/16 S	4
Total	15
FANG HOLDINGS L TO ADR	
SPaN	
SFUN	
Acquired 02112114 L	55
Acquired 03120/14 L	25
Acquired 04/15/14 L	30
Acquired 06116/14 L	60
Acquired 02127/15 L	65
Acquired 03104/15 L	53
Total	288
FORD MOTOR COMPANY	
F	
Acquired 09107/12 L	36
Acquired 10/11/12 L	32

Stocks and ETFs continued

DESCRIPTION	QUANTITY
Acquired 02/01/13 L	13
Acquired 02/08/13 L	2
Acquired 04/11/13 L	9
Acquired 10/22/13 L	5
Acquired 03/04/14 L	12
Acquired 10/08/14 L	8
Acquired 09/08/15 L	11
<b>Total</b>	<b>128</b>
GENERAL ELECTRIC COMPANY	
GE	
Acquired 12/02/05 L nc	2
Acquired 03/09/10 L nc	65
Acquired 02/08/13 L	4
Acquired 01/27/14 L	4
Acquired 07/08/14 L	1
<b>Total</b>	<b>76</b>
HOME DEPOT INC	
HD	
Acquired 04/07/15 L	4
Acquired 04/30/15 L	2
<b>Total</b>	<b>6</b>
HONEYWELL INTERNATIONAL	
INC	
HON	
Acquired 12/02/05 L nc	7
Acquired 03/08/12 L	6
<b>Total</b>	<b>13</b>
INTEL CORP	
INTC	
Acquired 09/25/12 L	28
Acquired 02/08/13 L	1
Acquired 05/10/13 L	5
Acquired 06/21/13 L	12
Acquired 07/18/13 L	7
Acquired 07/08/14 L	1
Acquired 02/24/16 S	6
<b>Total</b>	<b>60</b>

Stocks and ETFs continued

DESCRIPTION	QUANTITY
INTERNATIONAL BUSINESS	
MACHINE CORP	
IBM	
Acquired 1111/11 L	2
Acquired 05113/13 L	1
Acquired 11/15/16 S	1
Total	4
JD.COM INC SPONSORED ADR	
REPSTG COM CL A	
JD	
Acquired 05123/14 L	35
Acquired 11125/14 L	20
Acquired 03104/15 L	6
Total	61
JOHNSON & JOHNSON	
JNJ	
Acquired 08117109 L nc	4
Acquired 08128/09 L nc	4
Acquired 10117/11 L	8
Total	16
JOHNSON CTLS INTL PLC	
JCI	
Acquired 06127/16 S	28
Acquired 09108/16 S	37
Acquired 12115/16 S	9
Total	74
JPMORGAN CHASE & CO	
JPM	
Acquired 10120/11 L	6
Acquired 11117/11 L	6
Acquired 11125/11 L	6
Acquired 01/30/12 L	10
Acquired 09/12/12 L	3
Acquired 10112112 L	4
Acquired 03104/15 L	27
Acquired 03124/15 L	8
Acquired 11123/15 L	6



Stocks and ETFs continued

DESCRIPTION	QUANTITY
Acquired 02/12/16 S	4
<b>Total</b>	<b>80</b>
KIMBERLY-CLARK CORP	
KMB	
Acquired 02/12/16 S	2
LENDINGCLUB CORP	
LC	
Acquired 03/30/15 L	35
LIBERTY GLOBAL PLC	
LILAC SHS CLASS C USD	
L1LAK	
Acquired 06/10/13 L	2.10639
Acquired 06/18/13 L	0.93617
Acquired 08/10/13 L	3.74468
Acquired 09/10/13 L	3.27660
Acquired 11/12/13 L	0.93616
<b>Total</b>	<b>11</b>
LIBERTY GLOBAL PLC	
SHS CLC	
LBTYK	
Acquired 06/03/13 L	18
Acquired 06/18/13 L	8
Acquired 08/10/13 L	32
Acquired 09/10/13 L	28
Acquired 11/12/13 L	8
<b>Total</b>	<b>94</b>
MARKEL CORP	
MKL	
Acquired 05/12/06 L nc	1
Acquired 05/01/08 L nc	2
<b>Total</b>	<b>3</b>
MEDTRONIC PLC	
MDT	
Acquired 01/27/15 L	22
MERCK & CO INC NEW	
MRK	
Acquired 11/20/13 L	16

20

Stocks and ETFs continued

DESCRIPTION	QUANTITY
Acquired 03/18/14 L	3
Acquired 01/08/16 S	7
Total	26
MICROSOFT CORP	
MSFT	
Acquired 11/04/10 L nc	16
Acquired 03/09/11 L	12
Acquired 10/17/11 L	11
Acquired 10/20/11 L	8
Acquired 11/17/11 L	10
Acquired 11/28/12 L	5
Acquired 03/10/16 S	4
Acquired 11/15/16 S	3
Total	69
MONSANTO CO NEW	
MON	
Acquired 08/28/15 L	14
Acquired 10/08/15 L	10
Total	24
MOSAIC COMPANY	
MOS	
Acquired 12/09/16 S	9
NEXTERA ENERGY INC	
NEE	
Acquired 06/10/16 S	10
NORFOLK SOUTHERN CORP	
NSC	
Acquired 08/10/07 L nc	12
OCCIDENTAL PETE CORP	
OXY	
Acquired 01/20/16 S	6
Acquired 02/10/16 S	7
Acquired 02/11/16 S	5
Acquired 04/15/16 S	12
Acquired 05/09/16 S	16
Total	46

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Stocks and ETFs continued

DESCRIPTION	QUANTITY
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PEPSICO INCORPORATED

PEP

Acquired 01119/16 S 3

Acquired 01122/16 S 2

Acquired 02118/16 S 1

Total 6

PFIZER INCORPORATED

PFE

Acquired 01/19/10 L nc 30

Acquired 08/09/12 L 22

Acquired 02/08/13 L 2

Acquired 09/12/14 L 4

Acquired 03104/16 S 5

Acquired 12/27/16 S 3

Total 66

PNC FINANCIAL SERVICES

GROUP

PNC

Acquired 10/18/11 L 1

Acquired 11/21/11 L 10

Acquired 07/08/14 L 1

Total 12

PROCTER & GAMBLE CO

PG

Acquired 03/27/15 L 6

Acquired 04/09/15 L 2

Acquired 04/15/15 L 3

Total 11

QUEST DIAGNOSTICS INC

DGX

Acquired 02104/14 L 8

Acquired 03/04/15 L 5

Acquired 08112/16 S 6

Total 19

QUOTIENT TECHNOLOGY INC

QUOT

Acquired 04128/15 L 7

**Stocks and ETFs continued**

DESCRIPTION	QUANTITY
Acquired 10127/15 L	29
Acquired 10128/15 L	85
<b>Total</b>	<b>121</b>
SAP SE-SPONSORED ADR	
SAP	
Acquired 06/24/16 S	10
SCHLUMBERGER L TD	
SLB	
Acquired 03126/12 L	10
Acquired 02128/13 L	2
Acquired 05108/13 L	4
Acquired 11107113 L	1
Acquired 12110/14 L	1
<b>Total</b>	<b>18</b>
TARGET CORP	
TGT	
Acquired 07106/16 S	8
Acquired 08103/16 S	2
<b>Total</b>	<b>10</b>
TEXASINSTRUMENTSINC	
TXN	
Acquired 11113/08 L nc	3
Acquired 02118/09 L nc	20
<b>Total</b>	<b>23</b>
TIFFANY & CONEW	
TIF	
Acquired 08/08/12 L	8
UNION PACIFIC CORP	
UNP	
Acquired 11J03/11 L	13
UNITED TECHNOLOGIES CORP	
UTX	
Acquired 07J21/15 L	7
Acquired 08104/15 L	6
Acquired 08113/15 L	12
Acquired 11117/15 L	2
Acquired 01107/16 S	4

23

Stocks and ETFs continued

DESCRIPTION	QUANTITY
Acquired 01/14/16 S	6
Acquired 05/05/16 S	4
Total	41
UNITEDHEALTH GROUP	
INC	
UNH	
Acquired 10/22/13 L	3
Acquired 11/11/13 L	18
Acquired 03/27/15 L	3
Acquired 08/26/15 L	2
Total	26
VALEANT PHARMACEUTICALS	
INTLINCCDA	
VRX	
Acquired 11/05/15 L	12
Acquired 03/10/16 S	12
Acquired 03/03/16 S	10
Acquired 03/16/16 S	16
Acquired 04/11/16 S	15
Total	65
VERIZON COMMUNICATIONS	
COM	
VZ	
Acquired 12/10/15 L nc	22
Acquired 03/23/12 L	1
Acquired 10/17/13 L	2
Acquired 02/10/15 L	5
Acquired 04/12/11 L	3
Total	33

VIPSHOP HOLDINGS L TO  
SPONSORED ADR  
VIPS

Acquired 05128/13 L

72

WAL-MART STORES INC

WMT

Acquired 02118/16 S

4

Acquired 04120/16 S

3

Stocks and ETFs continued

DESCRIPTION	QUANTITY
Acquired 06/21/16 S	2
Total	9
WELLS FARGO & CO NEW	
WFC	
Acquired 03/09/11 L	2
Acquired 08/22/11 L	25
Acquired 02/08/13 L	6
Acquired 02/11/16 S	4
Acquired 05/02/16 S	20
Acquired 06/12/16 S	4
Acquired 09/13/16 S	38
Acquired 09/20/16 S	44
Acquired 10/18/16 S	2
Acquired 10/25/16 S	32
Total	177
WESCO INTERNATIONAL INC	
WCC	
Acquired 01/07/16 S	15
Acquired 01/14/16 S	5
Total	20
WHIRLPOOL CORP	
WHR	
Acquired 03/09/16 S	2
Acquired 05/11/16 S	1
Acquired 06/28/16 S	1
Total	4
ZTO EXPRESS	ADR
INC SPON	
ZTO	
Acquired 10/28/16 S	85
3M CO	
MMM	
Acquired 03/10/16 S	2

Stocks and ETFs

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DESCRIPTION	QUANTITY
ALLSTATE CORP	
ALL	
On Reinvestment	
Acquired 11129/01 L nc	39
Reinvestments L m	16.43300
Reinvestments S	1.08000
Total	56.51300

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Stocks and ETFs continued

DESCRIPTION	QUANTITY
<b>BERKSHIRE HATHAWAY INC</b>	
SERIESBNEW	
BRK/B	
On Reinvestment	
Acquired 07/02/98 L nc	50
<b>BRISTOL MYERS SQUIBB</b>	
CO	
BMY	
On Reinvestment	
Acquired 11/07/97 L nc	152
Reinvestments L m	141.01300
Reinvestments S	7.07000
<b>Total</b>	<b>300.08300</b>
<b>CHEVRON CORPORATION</b>	
CVX	
On Reinvestment	
Acquired 11/07/97 L nc	165
Reinvestments L m	133.41300
Reinvestments S	12.63400
<b>Total</b>	<b>311.04700</b>
<b>CISCO SYSTEMS INC</b>	
CSCO	
On Reinvestment	
Acquired 08/29/98 L nc	180
Reinvestments L m	22.86200
Reinvestments S	7.19800
<b>Total</b>	<b>210.06000</b>
<b>COCA-COLA COMPANY</b>	
KO	
On Reinvestment	
Acquired 11/07/97 L nc	242
Reinvestments L m	127.72900
Reinvestments S	12.00200
<b>Total</b>	<b>381.73100</b>

Stocks and ETFs continued

DESCRIPTION	QUANTITY
GENERAL ELECTRIC COMPANY	
GE	
On Reinvestment	
Acquired 11/07/97 L nc	303
Acquired 11/14/01 L nc	23
Reinvestments L m	216.49400
Reinvestments S	16.83300
Total	559.32700
MICROSOFT CORP	
MSFT	
On Reinvestment	
Acquired 01/30/98 L nc	400
Reinvestments L m	173.02500
Reinvestments S	15.24500
Total	588.27000
ORACLE CORPORA nON	
ORCL	
On Reinvestment	
Acquired 07/24/98 L nc	600
Acquired 11/14/01 L nc	42
Reinvestments L m	45.92500
Reinvestments S	10.76400
Total	698.68900
UNITEDHEALTH GROUP	
INC	
UNH	
On Reinvestment	
Acquired 11/14/01 L nc	96
Reinvestments L m	9.15100
Reinvestments S	1.78800
Total	106.93900
WELLS FARGO & CO NEW	
WFC	
On Reinvestment	
Acquired 11/14/01 L nc	60
Reinvestments L m	29.17800
Reinvestments S	2.69300

## Unit Investment Trusts

### Equity Trusts

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DESCRIPTION	QUANTITY
FIRST TRUST SELECT DSIP PORTFOLIO 1ST QUARTER 2016 SER REI CUSIP 30288A719 Acquired 01/19/16 S	144
Reinvestments S	3.53300
<b>Total</b>	<b>147.53300</b>

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**Stocks and ETFs**

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DESCRIPTION	QUANTITY
INTEL CORP	
INTC	
On Reinvestment	
Acquired 02112199 L nc	48
Reinvestments L m	19.06500
Reinvestments S	2.14400
<b>Total</b>	<b>69.20900</b>
ISHARES SELECT ET	
DIVIDEND	
DVY	
On Reinvestment	
Acquired 09120/04 L nc	52
Acquired 09122104 L nc	61
Reinvestments L m	51.33900
Reinvestments S	6.84000
<b>Total</b>	<b>171.17900</b>
PFIZER INCORPORATED	
PFE	
On Reinvestment	
Acquired 02127/98 L nc	107.25000

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## Stocks and ETFs continued

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DESCRIPTION	QUANTITY
Reinvestments L m	75.86000
Reinvestments S	6.78700
<b>Total</b>	<b>189.89700</b>
POWERSHARES ET	
GOLDEN DRAGON CHINA PORT	
PGJ	
Acquired 04/25/06 L nc	257

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## Unit Investment Trusts

### Equity Trusts

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DESCRIPTION	QUANTITY
FIRST TRUST	
MLP CLOSED-END FUND AND	
ENERGY PORT SERIES 45 RE	
CUSIP 30286K404	
Acquired 05/19/15 L nc	162
Reinvestments L nc	7.54800
Reinvestments S nc	13.01100
<b>Total</b>	<b>182.55900</b>
FIRST TRUST	
TARGET GLOBAL DVD LEADRS	
10'16 REINVEST	
CUSIP 30287Y460	
Acquired 01/11/16 S	276
Reinvestments S	12.36900

**Equity Trusts continued**

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DESCRIPTION	QUANTITY
<b>Total</b>	<b>288.36900</b>
<hr/>	
FIRST TRUST	
DOW TARGET 5 4Q 16 TERM	
REINVEST	
CUSIP 30300M550	
Acquired 10/28/16 S nc	40
Reinvestments S nc	0.19800
<b>Total</b>	<b>40.19800</b>

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Stocks and ETFs

DESCRIPTION	QUANTITY
ABBOTT LABORATORIES	
ABT	
On Reinvestment	
Acquired 03/24/98 L nc	100
Reinvestments L m	17.72900
Reinvestments S	3.12400
<b>Total</b>	<b>120.85300</b>

Stocks and ETFs continued

DESCRIPTION	QUANTITY
ABBVIE INC	
ABBV	
On Reinvestment	
Acquired 03/24/98 L nc	100
Reinvestments L m	19.58400
Reinvestments S	4.52700
Total	124.11100
DIS	
On Reinvestment	
Acquired 04/15/98 L nc	51
Reinvestments L m	10.23500
Reinvestments S	0.88800
Total	62.12300
NIKE INC CLASS B	
NKE	
On Reinvestment	
Acquired 10/10/03 L nc	392
Reinvestments L	5.22200
Reinvestments S	4.44700
Total	401.66900
SPDR S&P BICTECH	
XBI	
Acquired 03/08/16 S	100
WMT	
On Reinvestment	
Acquired 10/22/03 L nc	75
Reinvestments L	2.43600
Reinvestments S	2.28700
Total	79.72300



Open End Mutual Funds

DESCRIPTION	QUANTITY
FRANKLIN INCOME FD	
CLC	
FCISX	
On Reinvestment	
Reinvestments L	87.37500
Reinvestments S	74.33800
Systematic investment L	1,314.70900
Systematic investment S	265.92700
Total	1,742.34900

GABELLI EaUITY SER FDS	
FOCUS FIVE FUND	
CLASSC	
GWSCX	
On Reinvestment	
Reinvestments L	10.86500
Reinvestments S	2.48800
Systematic investment L	88.60300
Systematic investment S	97.61700
Total	199.57300

1.89

JOHN HANCOCK"	
LIFESTYLE BALANCED FUND	
CL-A	
JALBX	
On Reinvestment	
Acquired 12/15/05 L nc	356.72000
Acquired 01/17/06 L nc	35.21800
Acquired 03/20/06 L nc	27.90700
Acquired 05/12/06 L nc	4.84300
Acquired 06/12/06 L nc	15.00500
Acquired 07/20/06 L nc	22.10500

**Mutual Funds**

**Open End Mutual Funds continued**

<u>DESCRIPTION</u>	<u>QUANTITY</u>
Acquired 08121/06 L nc	<u>30.94400</u>
Acquired 10120106 L nc	<u>6.02500</u>
Acquired 11120106 L nc	<u>19.38400</u>
Acquired 02120107 L nc	<u>4.34500</u>
Acquired 03/20/07 L nc	<u>14.76000</u>
Acquired 04/20/07 L nc	<u>22.82800</u>
Acquired 05/21/07 L nc	<u>32.00300</u>
Acquired 09/05/07 L nc	<u>10.49500</u>
Acquired 09/05/07 L nc	<u>4.32900</u>
Acquired 01/04/08 L nc	<u>2.55400</u>
Acquired 01104108 L nc	<u>45.66300</u>
Acquired 01104/08 L nc	<u>0.18800</u>
Reinvestments L m	<u>443.62800</u>
Reinvestments S	<u>98.65800</u>
Systematic investment L nc	<u>382.72800</u>
<b>Total</b>	<b><u>1,580.33000</u></b>

STEELPATH MLP FDS TR  
OPPENHEIMER STEELPATH  
MLP INCOME FD CL C

<u>MLPRX</u>	
On Reinvestment	
Acquired 05128/13 L	<u>273.47300</u>
Reinvestments L	<u>110.28000</u>
Reinvestments S	<u>91.29400</u>
Systematic investment L	<u>360.10100</u>
<b>Total</b>	<b><u>835.14800</u></b>

SUNAMERICA FUNDS  
SUNAMERICA COMMODITY  
STRATEGY FUND CLASS C  
SUNCX

On Reinvestment	
Acquired 12124/08 L nc	<u>140.89900</u>
Reinvestments L nc	<u>18.18000</u>
Systematic investment L m	<u>365.28800</u>

Open End Mutual Funds continued

DESCRIPTION	QUANTITY
Total	524.36700

Closed End Mutual Funds

DESCRIPTION	QUANTITY
BLACKROCK TAXABLE MUNICIPAL BBN	
Acquired 04/08/11 L nc	275
COHEN & STEERS INFRASTRUCTURE FUND INC	
UTF	
Acquired 04/12/08 L nc	140
Acquired 04/12/08 L nc	60
Total	200
WELLS FARGO ADVANTAGE UTI LIES & HIGH INCOME FD ERH	
Acquired 06/12/10 L nc	200

## Unit Investment Trusts

### Equity Trusts

DESCRIPTION	QUANTITY
FIRST TRUST TARGET FOCUS 4 10'16 REINVEST CUSIP 302~7Y619 Acquired 01/11/16 S	274
Reinvestments S	6.74600
Total	280.74600
FIRST TRUST TARGET TRIAD 10 16 TERM 417117 REINVEST CUSIP 302~7Y718 Acquired 01/11/16 S	317
Reinvestments S	6.27000
Total	323.27000
INVESCO DIVERSIFIED HEAL TH CARE PORTFOLIO 2016-2 REIN CUSIP 46136W663 Acquired 05/06/16 S	64
Reinvestments S	0.41700
Total	64.41700
FIRST TRUST TARGET GLBAL DVD LEADERS 40 16 TERM REINVEST CUSIP 3030(M832 Acquired 10/10/16 S	367
Reinvestments S	2.08600

Equity Trusts continued

DESCRIPTION	QUANTITY
Total	369.08600
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FIRST TRUST	
TARGET HIGH QUALITY	
DIVIDEND PORT SER 16 R	
CUSIP 30300M394	
Acquired 10/10/16 S	167
Reinvestments S	0.92800
Total	167.92800

# Variable Annuities

DESCRIPTION

AS OF VALUE DATE

ALLIANZ LIFE INS CO  
 NORTH AMER/ ALLIANZ  
 VISION VAR ANN  
 CONTR# DAX76701  
 ISSUE DATE 4/18/11

12/29/16

Sub Funds	Units
AIL PYRAMIS MUL TI-STRA TEGY	1,657.45788
AIL DFA MULTI STRATEGY FUND	720.14904
PIHICO VIT GLOBAL MA MGD ALLOC	536.15710
BLACKROCK GLOBAL ALLOCATION	2,431.37785
PIHICO VIT EMERGING MKTS BOND	634.97263
PIMCO VIT GLOBAL BOND	431.39158
AIL MVP FUSION DYN MODERATE	824.71334
PIHICO VIT ALL ASSET PORTFOLIO	722.28047
PIMCO VIT TOTAL RETURN	281.41976

PRUDENTIAL ANNUITIES

ADVANCE SER XTRA CREDIT  
 SIX VARIABLE ANNUITY  
 CONTR# E0655877  
 ISSUE DATE 12/20/07

Sub Funds	Units
AST ADVANCED STRATEGIES PORTFO	3,995.05946

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