



**SUPREME COURT CLERK'S OFFICE**  
417 SOUTH KING STREET  
HONOLULU, HAWAII 96813-2912

**FINANCIAL DISCLOSURE STATEMENT**

THIS SPACE FOR OFFICE USE ONLY

**Electronically Filed**  
**Supreme Court**  
**SCFD-11-0000274**  
**02-APR-2012**  
**01:52 PM**

Before completing this form please read the instructions for Financial Disclosure Statement, including the text of Supreme Court Rule 15. REMINDER: For all items requiring a monetary amount, the following financial range codes may be used.

- A - Less than \$1,000
- B - At least \$1,000 but less than \$10,000
- C - At least \$10,000 but less than \$25,000
- D - At least \$25,000 but less than \$50,000
- E - At least \$50,000 but less than \$100,000
- F - At least \$100,000 but less than \$150,000
- G - At least \$150,000 but less than \$250,000
- H - At least \$250,000 but less than \$500,000
- I - At least \$500,000 but less than \$750,000
- J - At least \$750,000 but less than \$1,000,000
- K - \$1,000,000 or more

TO BE FILED BY ALL FULL TIME AND PER DIEM JUDGES.

(Type or Print Clearly)

NAME: <u>Rothschild</u> <u>Frank</u> <u>Dave</u> <small>(LAST) (FIRST) (MIDDLE)</small>	NAME OF SPOUSE OR DOMESTIC PARTNER:  <b>Frances Evelyn de Buhr</b>
OFFICE ADDRESS: <u>3970 Kaana Street</u> <small>NUMBER, STREET</small>	No. of Dependent Children: <small>(Do not include names)</small>  <b>0</b>
CITY OR TOWN: <u>Lihue</u> ZIP CODE: <u>96766</u>	

JUDICIAL POSITION HELD	DATE OF APPOINTMENT	OFFICE PHONE
<b>Per Diem Judge</b>	<b>1994</b>	<b>4822536</b>

CALENDAR YEAR COVERED BY THIS DISCLOSURE: **2011**

ITEM 1	RSCH 15(d)(1) JUDICIAL COMPENSATION	ANNUAL INCOME
		<b>C</b>

ITEM 2	RSCH 15(d)(1) JUDGE'S OTHER INCOME (if income for services rendered exceeds \$1,000)	ANNUAL INCOME
		<b>E</b>

EMPLOYER/LAW FIRM	BUSINESS ADDRESS	ANNUAL INCOME
<b>Frank Rothschild Inc.</b>	<b>4920 Wailapa Road, Kilauea</b>	<b>E</b>

ITEM 3	RSCH 15(d)(1) INCOME OF SPOUSE OR DOMESTIC PARTNER AND DEPENDENT CHILDREN (if income for services rendered exceeds \$1,000)	ANNUAL INCOME
		<b>D</b>

EMPLOYER	ANNUAL INCOME
<b>Self</b>	<b>D</b>

**2012 FEB 28 PM 5:02**  
**J. Y. TAKEUCHI**  
 CLERK, APPELLATE COURTS  
 STATE OF HAWAII

**FILED**

ITEM 4  
RSCH 15(d)(1) ANY OTHER INCOME, FOR SERVICES RENDERED, IN EXCESS OF \$1,000 - INCOME DISCLOSED IN ITEMS 1 - 3 NEED NOT BE REPEATED HERE

SOURCE	NATURE OF SERVICES RENDERED	AMOUNT
National Institute for Trial Advocacy	Royalties	B

Check here if entry is None  Check here if you have attached additional sheets

ITEM 5  
RSCH 15(d)(2) EACH OWNERSHIP OR BENEFICIAL INTEREST, HELD IN ANY BUSINESS CARRYING ON BUSINESS IN THE STATE, HAVING A VALUE OF \$5,000 OR MORE OR EQUAL TO 10% OF THE OWNERSHIP OF THE BUSINESS.

NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	ENTER AMOUNT OR NO. OF SHARES
Frank Rothschild Inc.	Teaching, consulting, dispute resolution	100% owner	All

Check here if entry is None  Check here if you have attached additional sheets

ITEM 6  
RSCH 15(d)(2) OWNERSHIP OR BENEFICIAL INTEREST UNDER ITEM 5 TRANSFERRED DURING THIS DISCLOSURE PERIOD.

NAME OF BUSINESS	DATE OF TRANSFER	VALUE OF TRANSFER

Check here if entry is None  Check here if you have attached additional sheets

ITEM 7  
RSCH 15(d)(3) LIST EACH OFFICERSHIP, DIRECTORSHIP, TRUSTEESHIP OR OTHER FIDUCIARY RELATIONSHIP HELD IN ANY BUSINESS.

NAME OF BUSINESS	TITLE AND TERM OF OFFICE	COMPENSATION (enter amount or NONE)

Check here if entry is None  Check here if you have attached additional sheets

ITEM 8 LIST CREDITORS, OTHER THAN CREDIT CARD ACCOUNTS, TO WHOM MORE THAN \$3,000 WAS OWED DURING THE DISCLOSURE  
 RSCH 15(d)(4) PERIOD. LIST CREDIT CARD DEBT THAT EXCEEDED \$10,000 FOR SIX MONTHS OR MORE.

NAME AND ADDRESS OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OWED AT END OF YEAR
Bank of America, POB 5170, Simi Valley, CA 93062-5170	472,000	370,000

Check here if entry is None  Check here if you have attached additional sheets

ITEM 9 REAL PROPERTY IN THE STATE IN WHICH IS HELD AN INTEREST WITH A FAIR MARKET VALUE OF \$10,000 OR MORE.  
 RSCH 15(d)(5)

POSTAL ZIP CODE OF LOCATION	VALUE
96754	1,091,000

Check here if entry is None  Check here if you have attached additional sheets

ITEM 10 REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000, ACQUIRED DURING THE DISCLOSURE PERIOD.  
 RSCH 15(d)(5)

POSTAL ZIP CODE OF LOCATION	NATURE OF INTEREST	NAME AND ADDRESS OF PERSON RECEIVING CONSIDERATION	CONSIDERATION GIVEN

Check here if entry is None  Check here if you have attached additional sheets

ITEM 11 REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000, TRANSFERRED DURING THE DISCLOSURE PERIOD.  
 RSCH 15(d)(5)

POSTAL ZIP CODE OF LOCATION	NAME AND ADDRESS OF PERSON FURNISHING CONSIDERATION	CONSIDERATION RECEIVED

Check here if entry is None  Check here if you have attached additional sheets

ITEM 12 RSCH 15(d)(6)	CREDITOR INTEREST IN INSOLVENT BUSINESS HAVING A VALUE OF \$5,000 OR MORE.		
NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE

Check here if entry is None       Check here if you have attached additional sheets

ITEM 13 RSCH 15(d)(7); Rule 3.13 Revised Code of Judicial Conduct	GIFT(S) THAT MUST BE REPORTED UNDER RULE 3.13(c) OF THE HAWAII REVISED CODE OF JUDICIAL CONDUCT.	
SOURCE	DESCRIPTION OF GIFT	ESTIMATED VALUE

Check here if entry is None       Check here if you have attached additional sheets

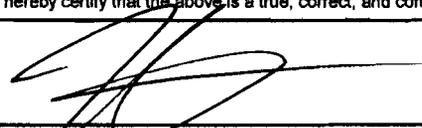
ITEM 14 RSCH 15(d)(8) & 22(h)	FULL-TIME JUDGES' APPROVED JUDICIAL EDUCATION
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I attended 6 hours of Approved Judicial Education during the reporting period.

REMARKS:

See attached sheets.

CERTIFICATION: I hereby certify that the above is a true, correct, and complete statement.

SIGNATURE: 

DATE: February 25,  
2012

NOTE: This filing is not valid without a signature.