



SUPREME COURT CLERK'S OFFICE  
417 SOUTH KING STREET  
HONOLULU, HAWAI'I 96813-2912

FINANCIAL DISCLOSURE STATEMENT

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**Electronically Filed  
Supreme Court  
SCFD-11-0000269  
30-APR-2014  
11:30 AM**

Before completing this form please read the instructions for Financial Disclosure Statement, including the text of Supreme Court Rule 15. REMINDER: For all items requiring a monetary amount, the following financial range codes may be used.

- A - Less than \$1,000
- B - At least \$1,000 but less than \$10,000
- C - At least \$10,000 but less than \$25,000
- D - At least \$25,000 but less than \$50,000
- E - At least \$50,000 but less than \$100,000
- F - At least \$100,000 but less than \$150,000
- G - At least \$150,000 but less than \$250,000
- H - At least \$250,000 but less than \$500,000
- I - At least \$500,000 but less than \$750,000
- J - At least \$750,000 but less than \$1,000,000
- K - \$1,000,000 or more

TO BE FILED BY ALL FULL TIME AND PER DIEM JUDGES.

(Type or Print Clearly)

<p>NAME: <u>Recktenwald</u> <u>Mark</u> <u>E.</u>  <small>(LAST) (FIRST) (MIDDLE)</small></p> <p>OFFICE ADDRESS: <u>417 S. King Street</u>  <small>NUMBER, STREET</small></p> <p>CITY OR TOWN: <u>Honolulu</u> ZIP CODE: <u>96813</u></p>	<p>NAME OF SPOUSE OR DOMESTIC PARTNER: <b>Gailynn M. Williamson</b></p> <p>No. of Dependent Children: (Do not include names) <b>1</b></p>
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JUDICIAL POSITION HELD	DATE OF APPOINTMENT	OFFICE PHONE
Chief Justice	09/14/2010	539-4701

CALENDAR YEAR COVERED BY THIS DISCLOSURE: 20 13

ITEM 1 RSCH 15(d)(1)	JUDICIAL COMPENSATION	ANNUAL INCOME <b>G</b>
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ITEM 2 RSCH 15(d)(1)	JUDGE'S OTHER INCOME (if income for services rendered exceeds \$1,000)	
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EMPLOYER/LAW FIRM	BUSINESS ADDRESS	ANNUAL INCOME

ITEM 3 RSCH 15(d)(1)	INCOME OF SPOUSE OR DOMESTIC PARTNER AND DEPENDENT CHILDREN (if income for services rendered exceeds \$1,000)	
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EMPLOYER	ANNUAL INCOME
Please see attachment	

ITEM 4 RSCH 15(d)(1)	ANY OTHER INCOME, FOR SERVICES RENDERED, IN EXCESS OF \$1,000 - INCOME DISCLOSED IN ITEMS 1 - 3 NEED NOT BE REPEATED HERE
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SOURCE	NATURE OF SERVICES RENDERED	AMOUNT

Check here if entry is None       Check here if you have attached additional sheets

ITEM 5 RSCH 15(d)(2)	EACH OWNERSHIP OR BENEFICIAL INTEREST, HELD IN ANY BUSINESS CARRYING ON BUSINESS IN THE STATE, HAVING A VALUE OF \$5,000 OR MORE OR EQUAL TO 10% OF THE OWNERSHIP OF THE BUSINESS.
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NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	ENTER AMOUNT OR NO. OF SHARES
Please see attachment			

Check here if entry is None       Check here if you have attached additional sheets

ITEM 6 RSCH 15(d)(2)	OWNERSHIP OR BENEFICIAL INTEREST UNDER ITEM 5 TRANSFERRED DURING THIS DISCLOSURE PERIOD.
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NAME OF BUSINESS	DATE OF TRANSFER	VALUE OF TRANSFER
Please see attachment		

Check here if entry is None       Check here if you have attached additional sheets

ITEM 7 RSCH 15(d)(3)	LIST EACH OFFICERSHIP, DIRECTORSHIP, TRUSTEESHIP OR OTHER FIDUCIARY RELATIONSHIP HELD IN ANY BUSINESS.
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NAME OF BUSINESS	TITLE AND TERM OF OFFICE	COMPENSATION (enter amount or NONE)

Check here if entry is None       Check here if you have attached additional sheets

ITEM 8 RSCH 15(d)(4)	LIST CREDITORS, OTHER THAN CREDIT CARD ACCOUNTS, TO WHOM MORE THAN \$3,000 WAS OWED DURING THE DISCLOSURE PERIOD. LIST CREDIT CARD DEBT THAT EXCEEDED \$10,000 FOR SIX MONTHS OR MORE.	
NAME AND ADDRESS OF CREDITOR Please see attachment	ORIGINAL AMOUNT OWED	AMOUNT OWED AT END OF YEAR

Check here if entry is None

Check here if you have attached additional sheets

ITEM 9 RSCH 15(d)(5)	REAL PROPERTY IN THE STATE IN WHICH IS HELD AN INTEREST WITH A FAIR MARKET VALUE OF \$10,000 OR MORE.	
POSTAL ZIP CODE OF LOCATION Please see attachment	VALUE	

Check here if entry is None

Check here if you have attached additional sheets

ITEM 10 RSCH 15(d)(5)	REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000, ACQUIRED DURING THE DISCLOSURE PERIOD.		
POSTAL ZIP CODE OF LOCATION	NATURE OF INTEREST	NAME AND ADDRESS OF PERSON RECEIVING CONSIDERATION	CONSIDERATION GIVEN

Check here if entry is None

Check here if you have attached additional sheets

ITEM 11 RSCH 15(d)(5)	REAL PROPERTY, THE FAIR MARKET VALUE OF WHICH EXCEEDS \$10,000, TRANSFERRED DURING THE DISCLOSURE PERIOD.	
POSTAL ZIP CODE OF LOCATION	NAME AND ADDRESS OF PERSON FURNISHING CONSIDERATION	CONSIDERATION RECEIVED

Check here if entry is None

Check here if you have attached additional sheets

ITEM 12  
RSCH 15(d)(6) CREDITOR INTEREST IN INSOLVENT BUSINESS HAVING A VALUE OF \$5,000 OR MORE.

NAME OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
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Check here if entry is None  Check here if you have attached additional sheets

ITEM 13  
RSCH 15(d)(7);  
Rule 3.13  
Revised Code  
of Judicial  
Conduct GIFT(S) THAT MUST BE REPORTED UNDER RULE 3.13(c) OF THE HAWAII REVISED CODE OF JUDICIAL CONDUCT.

SOURCE	DESCRIPTION OF GIFT	ESTIMATED VALUE
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Check here if entry is None  Check here if you have attached additional sheets

ITEM 14  
RSCH 15(d)(8)  
& 22(h) FULL-TIME JUDGES' APPROVED JUDICIAL EDUCATION

I attended 14 hours of Approved Judicial Education during the reporting period.

REMARKS:

See attached sheets.

CERTIFICATION: I hereby certify that the above is a true, correct, and complete statement.

SIGNATURE: /s/ Mark E. Recktenwald

DATE: 04/30/2014

NOTE: This filing is not valid without a signature.

Financial Disclosure Statement  
Mark E. Recktenwald  
April 30, 2014

**Electronically Filed  
Supreme Court  
SCFD-11-0000269  
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11:32 AM**

Attachment

Item 3

The income of my wife and dependent child was as follows:

Spouse: teacher, State of Hawaii, University of Hawaii; E

Dependent child: intern, Bank of Hawaii; B  
research assistant, University of Chicago; B

Please note that income for my dependent child was inadvertently omitted from my 2011 and 2012 disclosures, as follows:

2011: teacher's aide, Le Jardin Academy; B

2012: research assistant, University of Chicago; B

Item 5

I held interests having a value of \$5,000 or more in the following businesses during 2013 (the reporting period).

1. Diana Shipping Inc., Pendelis 16, 175 64 Palaio Falliro, Athens, Greece; global provider of shipping transportation services; shares of stock; C.
2. Exxon Mobil Corp., 5959 Las Colinas Blvd., Irving, TX. 75039; oil and gas company; shares of stock; C.
3. General Electric Company, Fairfield, CT. 06826; diversified company with interests including finance, healthcare, media and infrastructure; shares of stock; D.
4. Intel Corp., 2200 Mission College Blvd., P.O. Box 58119, Santa Clara, CA 95052; electronics manufacturing; shares of stock; C.
5. Johnson & Johnson Corp., One Johnson & Johnson Plaza, New Brunswick, New Jersey; manufacturer of health care products; shares of stock; C.
6. Proctor & Gamble, 1 Proctor & Gamble Plaza, Cincinnati, OH. 45202; manufacturing; shares of stock; C.
7. American Funds Growth Fund of America, One Market-Steuart Tower Suite 1800, San Francisco, CA. 94105; mutual fund investing in common stocks; shares of fund; C.

8. JP Morgan Mid Cap Value Fund, JP Morgan Distribution Services, 1111 Polaris Parkway, Columbus, OH. 43240; mutual fund which invests in equity securities; C.
9. Templeton Foreign Fund, 500 East Broward Blvd., Suite 2100, Fort Lauderdale, FL. 33394; mutual fund investing primarily in equity securities of companies located outside the United States; shares of fund; C.
10. Goldman Sachs Rising Dividend Growth Fund, 200 West Street, New York, NY 10282; mutual fund which invests in stocks, master limited partnerships and real estate investment trusts; shares of fund; C.
11. Columbia Select Large-Cap Value Fund, formerly known as Seligman Large-Cap Value Fund, Columbia Management Investment Services, Corp., P.O. Box 8081, Boston, MA 02266-8081; mutual fund which invests in securities; shares of fund; C.
12. Western Asset Core Plus Bond Fund; c/o Legg Mason/Western, 100 International Drive, Baltimore, MD 21202; mutual fund which invests in bonds; shares of fund; B.
13. Janus Venture Fund A, 151 Detroit Street, Denver, CO 80206; mutual fund which invests in securities; shares of fund; B.
14. Duke Energy Corp., 526 S. Church Street, Charlotte NC, 28202; I, electric power holding company; shares of stock, D.
15. Integrys Energy Group, 130 East Randolph Street, Chicago, IL. 60601; diversified energy holding company; shares of stock, D.
16. Wells Fargo & Company, 420 Montgomery Street, San Francisco, CA. 94163; diversified financial services company; shares of stock, B.
17. AON Capital CorTS Trust, 390 Greenwich Street, New York, NY, 10013; insurance company; shares of preferred stock; C.
18. BGC Partners Inc., 499 Park Avenue, New York, NY, 10022; brokerage company servicing wholesale financial and property markets; shares of preferred stock; D.
19. Dominion Resources Inc., 701 East Cary Street, Richmond, VA 26532; energy production and transportation company; shares of preferred stock; D
20. DTE Energy Co., One Energy Plaza, Detroit, MI 48226; diversified energy company; shares of preferred stock; C.
21. Gabelli Healthcare and Wellness Trust, One Corporate Center, Rye, NY, 10580; fund which invests healthcare and wellness securities; shares of preferred stock; C.
22. PPL Corporation, Two North Ninth Street, Allentown, PA 18101; energy and utility holding company; shares of preferred stock; D.
23. Qwest Corp., 100 CenturyLind Drive, Monroe, LA 71203; telecommunications company; shares of preferred stock; C.
24. EMC Corp., 176 South Street, Hopkinton, MA 01748; information technology company; shares of stock; C.

25. SPDR S&P 500 ETF Trust; PDR Services LLC, c/o NYSE Euronext, 11 Wall Street, New York, NY, 10005; exchange traded fund that holds shares of S&P 500 companies; shares of fund; D.
26. AT&T, 208 S. Akard Street, Dallas, TX 75202; telecommunications holding company; shares of stock; C.
27. Caterpillar Inc., 100 NE Adams Street, Peoria, IL 61629; manufacturing company; shares of stock; C.
28. Qualcomm Inc., 5775 Morehouse Avenue, San Diego, CA 92121; digital communications company; shares of stock; C.

## Retirement Plans

I am a participant in the Thrift Savings Plan (TSP), which is a retirement savings and investment plan for current and former federal employees. During the reporting period, I held, through the TSP, investments with a total value of more than \$50,000 but less than \$100,000. These investments were invested in the following TSP funds: Government Securities Investment, Fixed Income Index Investment, Common Stock Index Investment, Small Capitalization Stock Index Investment, and International Stock Index Investment. Information regarding the TSP is available at [www.tsp.gov](http://www.tsp.gov).

## College Funds

During the reporting period, my wife was the owner of a College Save individual 529 account, the beneficiary of which is my dependent daughter. The address of the account is P.O. Box 55573, Boston, MA. 02205. My wife owned units in a fund, which were invested in a Moderate Age-Based Option: Conservative Growth Portfolio; B.

## Item 6

On the dates listed below, I or my wife sold interests in the following entities identified in item 5:

1. I sold shares in Dominion Resources on 2/4/13 and 8/23/13.
2. I sold shares in BGC Partners on 2/20/13.
3. I sold shares in Integrys Energy Group on 2/20/13.
4. I sold shares in Gabelli Health and Wellness on 8/23/13.
5. I sold shares in Qwest Corp. on 8/23/13.
6. I sold shares in AON Capital on 8/23/13.
7. I sold shares in DTE Energy on 8/23/13.
8. I sold shares in EMC Corp. on 10/11/13.
9. My wife sold units in the College Save individual 529 account, the beneficiary of which is my dependent daughter, on 3/25/13 and 10/22/13.

## Item 8

### Creditors

My wife and I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. Bank of Hawaii, P.O. Box 3650, Honolulu, HI 96811; Original amount owed: J; amount owed at end of year: J.
2. First Hawaiian Bank, Consumer Loan Center, P.O. Box 1959, Honolulu, HI 96805. Original amount owed: C; amount owed at end of year: C.
3. BMW Financial Services, P.O. Box 3608, Dublin, OH 43016-0306; original amount owed: C; amount owed at end of year: C.

I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. U.S. Dept. of Education, c/o Fedloan Servicing, P.O. Box 69184, Harrisburg, PA 17106. Original amount owed: D; amount owed at end of year: E.

My dependent daughter owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

1. U.S. Department of Education, P.O. Box 7860, Madison, WI 53707. Original amount owed: B; amount owed at end of year: C.

### Item 9—Real property held

My wife and I jointly owned real property located in zip code 96734; K.

My wife was a joint owner of real property located in zip code 96817; I.