

### SUPREME COURT CLERK'S OFFICE 417 SOUTH KING STREET HONOLULU, HAWAI'I 96813-2912

FINANCIAL DISCLOSURE STATEMENT

THIS SPACE FOR OFFICE USE ONLY

**Electronically Filed Supreme Court** SCFD-11-0000269 27-APR-2016 01:38 PM

Before completing this form please read the instructions for Financial Disclosure Statement, including the text of Supreme Court Rule 15. REMINDER: For all items requiring a monetary amount, the following financial range codes may be used.

- A Less than \$1,000
- B At least \$1,000 but less than \$10,000
- C At least \$10,000 but less than \$25,000
- D At least \$25,000 but less than \$50,000
- E At least \$50,000 but less than \$100,000
- F At least \$100,000 but less than \$150,000
- G At least \$150,000 but less than \$250,000 H - At least \$250,000 but less than \$500,000
- I At least \$500,000 but less than \$750,000
  - J At least \$750,000 but less than \$1,000,000
  - K -\$1,000,000 or more

TO BE FILED BY ALL FULL TIME AND PER DIEM JUDGES.

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INAIVIL.	ktenwald _AST)	Mark (FIRST)	E. (MIDDLE)		JSE OR DOMESTIC PARTNER: Williamson
OFFICE ADDRES	Hanalulu	NUMBER, STREET	<sub>DE:</sub> 96813	No. of Depender (Do not include r	nt Children:
JUDICIAL POSIT	)	DATE OF APPOINTMENT 09/14/2010	OFFICE F 539-4701	PHONE	
CALENDAR YEA	R COVERED BY THIS DISCLOSU	JRE: 20 <u>15</u>			
ITEM 1 RSCH 15(d)(1)	JUDICIAL COMPENSATIO	N			ANNUAL INCOME G
ITEM 2 RSCH 15(d)(1)	JUDGE'S OTHER INCOME (if income for services rend			,	
	EMPLOYER/LAW FIR	M	BUSINESS ADDRESS		ANNUAL INCOME
ITEM 3 RSCH 15(d)(1)	INCOME OF SPOUSE OR (if income for services rend		ND DEPENDENT CHILDREN		
Please see a	attachment	EMPLOYER			ANNUAL INCOME

Please see attachment  Check here if entry is None  Check here if you have attached additional sheets  TEM 6 RSCH 15(d)(2)  NAME OF BUSINESS  DATE OF TRANSFER  VALUE OF TRANSFER  VALUE OF TRANSFER  TEM 7 RSCH 15(d)(3)  LIST EACH OFFICERSHIP, DIRECTORSHIP, TRUSTEESHIP OR OTHER FIDUCIARY RELATIONSHIP HELD IN ANY BUSINESS.  NAME OF BUSINESS  TITLE AND TERM OF OFFICE  COMPENSAT  (COMPENSAT)	ITEM 4 RSCH 15(d)(1)	ANY OTHER INCOME, FOR SERVICE REPEATED HERE	ES RENDERED, IN EXCES	SS OF \$1,000 - INCOI	ME DISCLOSED IN ITEMS	1 - 3 NEED NOT BE
EACH OWNERSHIP OR BENEFICIAL INTEREST, HELD IN ANY BUSINESS CARRYING ON BUSINESS IN THE STATE, HAVING A VALUE OF \$5,000 OR MORE OR EQUAL TO 10% OF THE OWNERSHIP OF THE BUSINESS.  NAME OF BUSINESS  NATURE OF BUSINESS  NATURE OF BUSINESS  NATURE OF BUSINESS  NATURE OF INTEREST  ENTER AMOI OR NO. OF S  Please see attachment  Check here if entry is None  Check here if you have attached additional sheets  TEM 6 RSCH 19(0)(2)  NAME OF BUSINESS  DATE OF TRANSFERRED DURING THIS DISCLOSURE PERIOD.  AME OF BUSINESS  DATE OF TRANSFER  VALUE OF TRANSFER  Check here if entry is None  Check here if you have attached additional sheets  TEM 7 CHeck here if entry is None  Check here if you have attached additional sheets  TEM 7 CHECK here if entry is None  NAME OF BUSINESS  NAME OF BUSINESS  TITLE AND TERM OF OFFICE  COMPENSAT (enter amount) MONE)		SOURCE	NATURE	OF SERVICES REN	DERED	AMOUNT
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Please see attachment  Check here if entry is None  Check here if you have attached additional sheets  OWNERSHIP OR BENEFICIAL INTEREST UNDER ITEM 5 TRANSFERRED DURING THIS DISCLOSURE PERIOD.  NAME OF BUSINESS  DATE OF TRANSFER  VALUE OF TRANSFER  TEM 7 RSCH 15(d)(3)  LIST EACH OFFICERSHIP, DIRECTORSHIP, TRUSTEESHIP OR OTHER FIDUCIARY RELATIONSHIP HELD IN ANY BUSINESS.  NAME OF BUSINESS  TITLE AND TERM OF OFFICE  COMPENSAT.  COMPENSAT.  COMPENSAT.						STATE, HAVING A
Check here if entry is None  Check here if you have attached additional sheets    Check here if entry is None		NAME OF BUSINESS	NATURE C	F BUSINESS	NATURE OF INTERES	T ENTER AMOUNT OR NO. OF SHARES
TITEM 6   RSCH 15(d)(2)   NAME OF BUSINESS   DATE OF TRANSFERRED DURING THIS DISCLOSURE PERIOD.						
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☐ Check here if entry is None ☐ Check here if you have attached additional sheets  ITEM 7 RSCH 15(d)(3)  ILIST EACH OFFICERSHIP, DIRECTORSHIP, TRUSTEESHIP OR OTHER FIDUCIARY RELATIONSHIP HELD IN ANY BUSINESS.  NAME OF BUSINESS  TITLE AND TERM OF OFFICE COMPENSAT (enter amount NONE)						
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						COMPENSATION (enter amount or NONE) None
☐ Check here if entry is None ☐ Check here if you have attached additional sheets		Check here if entry is None	☐ Check here if you h	ave attached addition	al sheets	

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ITEM 8 RSCH 15(d)(4)			ACCOUNTS, TO WHOM MORE THAN \$3,000 WA EDED \$10,000 FOR SIX MONTHS OR MORE.	S OWED DURING THE DISCLOSURE	
	NAME AND ADDRES	SS OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OWED AT END OF YEAR	
Please see a	ttachment				
	Check here if entry is No	one Check	k here if you have attached additional sheets		
ITEM 9 RSCH 15(d)(5)	REAL PROPERTY IN	I THE STATE IN WHICH IS H	ELD AN INTEREST WITH A FAIR MARKET VALUI	E OF \$10,000 OR MORE.	
		POSTAL ZIP CODE OF LO	CATION	VALUE	
Please see at	ttachment				
Check here if entry is None Check here if you have attached additional sheets					
ITEM 10 RSCH 15(d)(5)	REAL PROPERTY, T	HE FAIR MARKET VALUE O	F WHICH EXCEEDS \$10,000, ACQUIRED DURING	G THE DISCLOSURE PERIOD.	
POSTAL ZIP C	ODE OF LOCATION	NATURE OF INTEREST	NAME AND ADDRESS OF PERSON RECEIVING	CONSIDERATION GIVEN	
			CONSIDERATION		
☐ Check here if entry is None ☐ Check here if you have attached additional sheets					
ITEM 11 RSCH 15(d)(5)	REAL PROPERTY, T	HE FAIR MARKET VALUE O	F WHICH EXCEEDS \$10,000, TRANSFERRED DU	JRING THE DISCLOSURE PERIOD.	
POSTAL ZIP CODE OF LOCATION		NAME AND ADDRESS OF PERSON FURNISHING CONSIDERATION		CONSIDERATION RECEIVED	
<b>☑</b> C	heck here if entry is Nor	ne	k here if you have attached additional sheets		

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ITEM 12 RSCH 15(d)(6)	CREDITOR INTEREST IN INSOLVENT BUSINESS HAVING A VALUE OF \$5,000 OR MORE.							
N	NAME OF BUSINESS NATURE OF BUSINESS NATURE OF INTEREST							
	☑ Check here if entry is None ☐ Check here if you have attached additional sheets							
ITEM 13 RSCH 15(d)(7); Rule 3.13 Revised Code of Judicial Conduct	M 13 H 15(d)(7); 3.13 GIFT(S) THAT MUST BE REPORTED UNDER RULE 3.13(c) OF THE HAWAI'I REVISED CODE OF JUDICIAL CONDUCT. seed Code idicial							
	SOURCE	DESCRIPTION	ON OF GIFT	ESTIMATED VALUE				
☑ Check here if entry is None ☐ Check here if you have attached additional sheets								
ITEM 14 RSCH 15(d)(8) & 22(h)	FULL-TIME JUDGES' APPROVED JUDICIAL EDUCATION							
I attended 12 hours of Approved Judicial Education during the reporting period.								
REMARKS:								
TALING WAXO.								
See attached sheets.								
CERTIFICATION: I hereby certify that the above is a true, correct, and complete statement.								
SIGNATURE: /s/ Mark E. Recktenwald  DATE: 04/27/2016								
NOTE THE STANDARD WILLIAM AND A STANDARD AND A STAN								
NOTE: This filing is not valid without a signature.								

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# Financial Disclosure Statement Mark E. Recktenwald April 27, 2016

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#### Attachment

Item 3

The income of my wife was as follows:

Teacher, State of Hawaii, University of Hawaii; E

#### Item 5

I held interests having a value of \$5,000 or more in the following businesses during 2015 (the reporting period).

- 1. Diana Shipping Inc., Pendelis 16, 175 64 Palaio Falliro, Athens, Greece; global provider of shipping transportation services; shares of stock; B.
- 2. Exxon Mobil Corp., 5959 Las Colinas Blvd., Irving, TX. 75039; oil and gas company; shares of stock; B.
- 3. General Electric Company, Fairfield, CT. 06826; diversified company with interests including finance, healthcare, media and infrastructure; shares of stock; D.
- 4. Intel Corp., 2200 Mission College Blvd., P.O. Box 58119, Santa Clara, CA 95052; electronics manufacturing; shares of stock; C.
- 5. Johnson & Johnson Corp., One Johnson & Johnson Plaza, New Brunswick, New Jersey; manufacturer of health care products; shares of stock: D.
- 6. Proctor & Gamble, 1 Proctor & Gamble Plaza, Cincinnati, OH. 45202; manufacturing; shares of stock; C.
- 7. American Funds Growth Fund of America, One Market-Steuart Tower Suite 1800, San Francisco, CA. 94105; mutual fund investing in common stocks; shares of fund; C.
- 8. JP Morgan Mid Cap Value Fund, JP Morgan Distribution Services, 1111 Polaris Parkway, Columbus, OH. 43240; mutual fund which invests in equity securities; C.
- 9. Templeton Foreign Fund, 500 East Broward Blvd., Suite 2100, Fort Lauderdale, FL. 33394; mutual fund investing primarily in equity securities of companies located outside the United States; shares of fund; C.
- 10. Goldman Sachs Rising Dividend Growth Fund, 200 West Street, New York, NY 10282; mutual fund which invests in stocks, master limited partnerships and real estate investment trusts; shares of fund; C.

- 11. Columbia Select Large-Cap Value Fund, formerly known as Seligman Large-Cap Value Fund, Columbia Management Investment Services, Corp., P.O. Box 8081, Boston, MA 02266-8081; mutual fund which invests in securities; shares of fund; C.
- 12. Western Asset Core Plus Bond Fund; c/o Legg Mason/Western, 100 International Drive, Baltimore, MD 21202; mutual fund which invests in bonds; shares of fund; B.
- 13. Janus Venture Fund A, 151 Detroit Street, Denver, CO 80206; mutual fund which invests in securities; shares of fund; C.
- 14. Duke Energy Corp., 526 S. Church Street, Charlotte NC, 28202; electric power holding company; shares of stock, D.
- 15. Walt Disney Company, 500 South Buena Vista Street, Burbank, CA 91521; entertainment company; shares of stock; C.
- 16. Kansas City Southern, 427 West 12<sup>th</sup>, Kansas City, MO 64105; transportation holding company; shares of stock; C.
- 17. SPDR S&P 500 ETF Trust; PDR Services LLC, c/o NYSE Euronext, 11 Wall Street, New York, NY, 10005; exchange traded fund that holds shares of S&P 500 companies; shares of fund; D.
- 18. AT&T, 208 S. Akard Street, Dallas, TX 75202; telecommunications holding company; shares of stock; C.
- 19. Caterpillar Inc., 100 NE Adams Street, Peoria, IL 61629; manufacturing company; shares of stock; C.

#### Retirement Plans

I am a participant in the Thrift Savings Plan (TSP), which is a retirement savings and investment plan for current and former federal employees. During the reporting period, I held, through the TSP, investments with a total value of more than \$50,000 but less than \$100,000. These investments were invested in the following TSP funds: Government Securities Investment, Fixed Income Index Investment, Common Stock Index Investment, Small Capitalization Stock Index Investment, and International Stock Index Investment. Information regarding the TSP is available at www.tsp.gov.

## Item 6

On the dates listed below, I sold interests in the following entities identified in item 5:

- 1. I sold shares in Caterpillar Inc. on 11/16/15.
- 2. I sold shares in Duke Energy Corp. on 11/16/15.

## Item 8

## Creditors

My wife and I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

- 1. Bank of Hawaii, P.O. Box 3650, Honolulu, HI 96811; Original amount owed: J; amount owed at end of year: J.
- 2. First Hawaiian Bank, Consumer Loan Center, P.O. Box 1959, Honolulu, HI 96805. Original amount owed: C; amount owed at end of year: none.
- 3. BMW Financial Services, P.O. Box 3608, Dublin, OH 43016-0306; original amount owed: C; amount owed at end of year: B.

I owed more than \$3,000 to the following creditors, as defined in item 8, during the reporting period:

 U.S. Dept. of Education, c/o Fedloan Servicing, P.O. Box 69184, Harrisburg, PA 17106. Original amount owed: D; amount owed at end of year: E.

Item 9—Real property held

My wife and I jointly owned real property located in zip code 96734; K. My wife was a joint owner of real property located in zip code 96817; J.